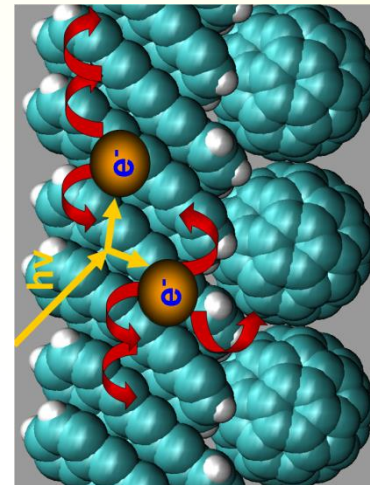
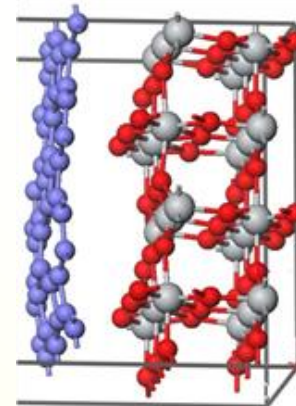
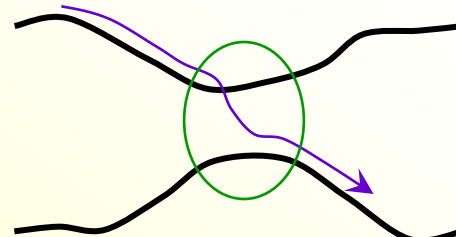
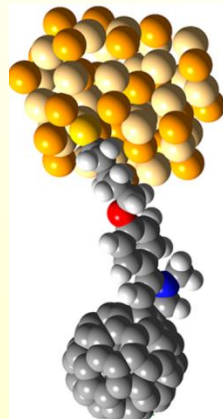
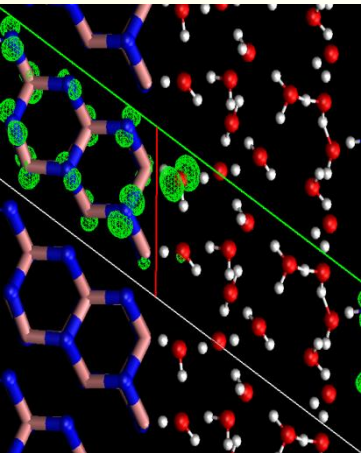
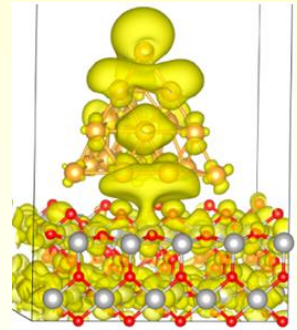
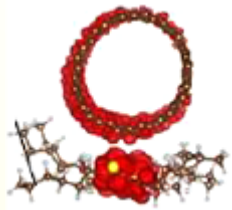


# Excitation Dynamics in Nanoscale Hybrids

*Oleg Prezhdo*

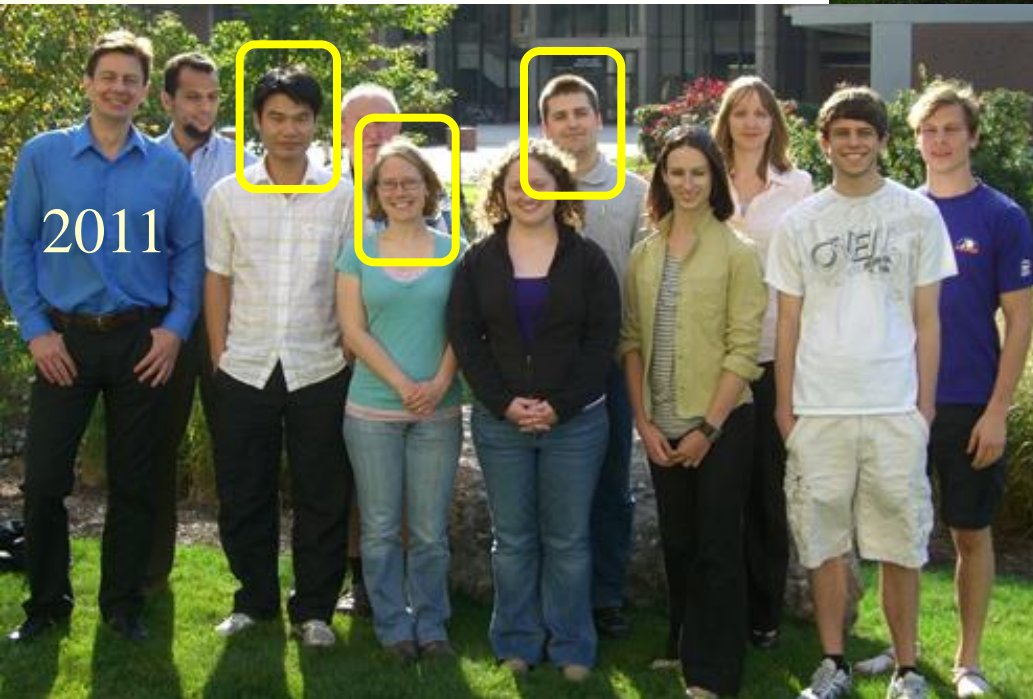
U. Southern California



Moscow  
Dec 10, 2014

## Group Members

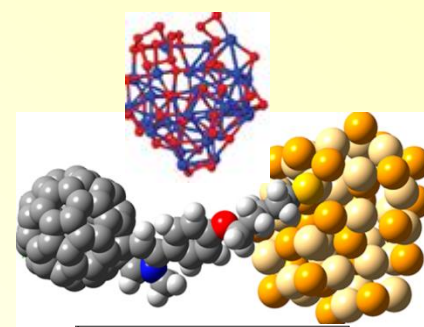
Dr. Alexey Akimov  
Dr. Run Long  
Dr. Vitaly Chaban  
Amanda Neukirch



We have graduate student  
and postdoc openings



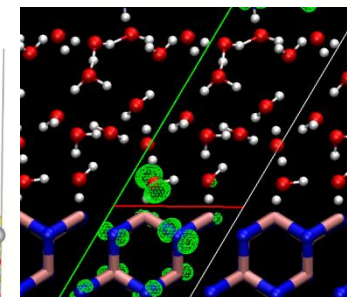
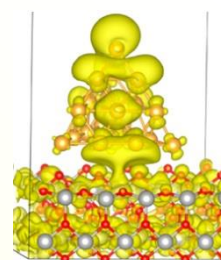
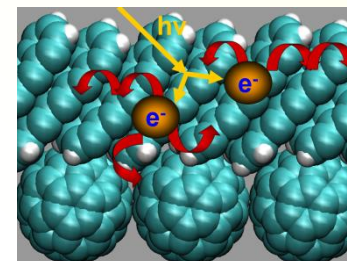
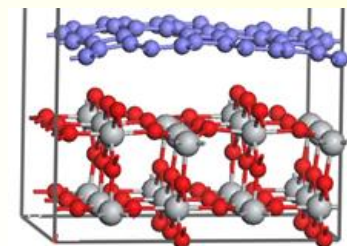
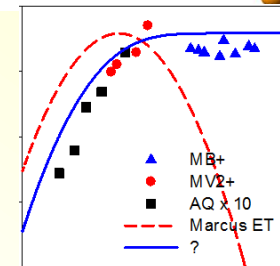
# Outline



## ➤ Nonadiabatic Molecular Dynamics & Time-Dependent Density Functional Theory

## ➤ Applications

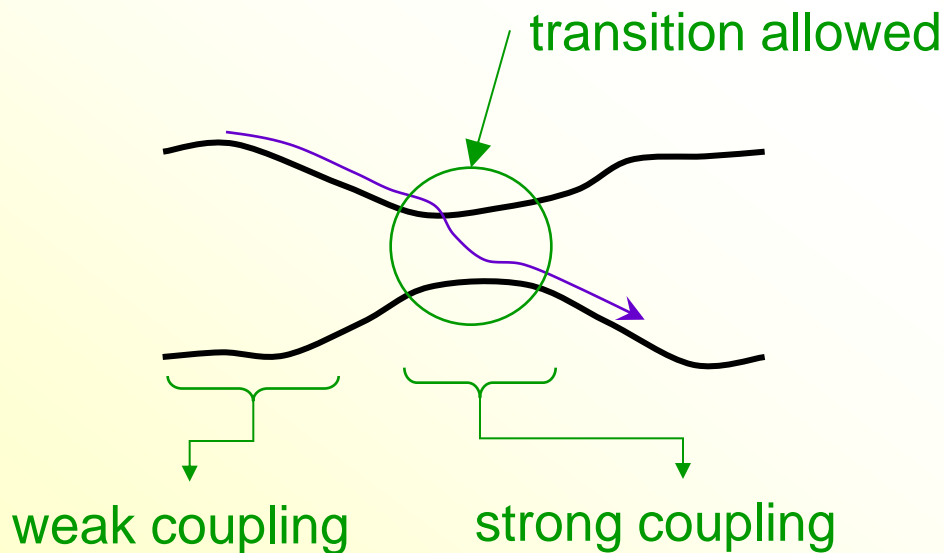
- Are defects good or bad for QD applications?
- Why long bridge accelerates ET from QD to  $C_{60}$ ?
- Lack of Marcus inverted regime – Auger assisted ET
- Why graphene (metal!) can be used as light-harvester?
- Instantaneous plasmon-driven ET
- Dimensionality and ET mechanism
- Exploiting asymmetry of ET in CNT/polymer systems
- Why  $H_2O$  splitting is not efficient on GaN?
- Singlet fission vs. charge transfer?



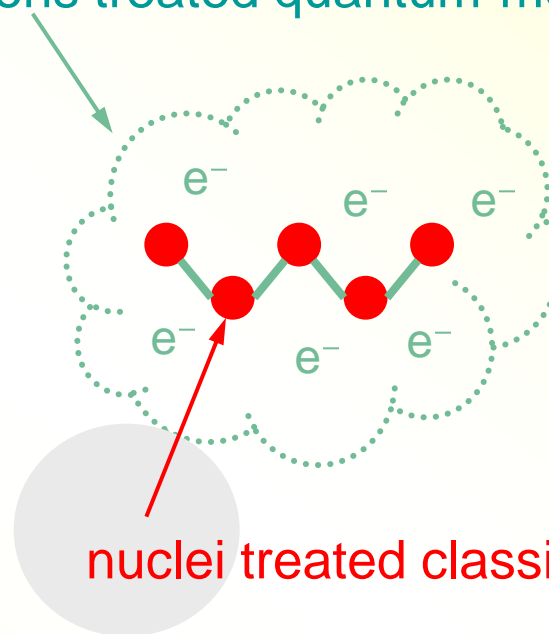


# Nonadiabatic Molecular Dynamics

Nonadiabatic MD: Coupling between potential surfaces opens channels for system to change electronic states.



electrons treated quantum-mechanically



nuclei treated classically



# Time-Domain DFT for Nonadiabatic Molecular Dynamics

Craig, Duncan, Prezhdo *Phys. Rev. Lett.* **95**, 163001 (2005)

Electron density derives from Kohn-Sham orbitals

$$\rho(x) = \sum_p |\varphi_p(x)|^2 \quad |\Psi\rangle = |\varphi_p(x_1, t) \varphi_q(x_2, t) \dots \varphi_v(x_N, t)\rangle_{SD}$$

DFT functional  $H$  depends on nuclear evolution  $R(t)$

Variational principle gives 
$$i\hbar \frac{\partial \varphi_p(x, t)}{\partial t} = H \varphi_p(x, t) \quad p = 1, 2, \dots$$

Orbitals are expanded in adiabatic KS basis 
$$\varphi_p(x, t) = \sum_{\alpha} c_p^{\alpha}(t) \chi^{\alpha}(x)$$

$$i\hbar \dot{c}^{\alpha} = \sum_{\beta} c^{\beta} \left( \varepsilon^{\beta} \delta_{\alpha\beta} - \boxed{i\hbar \langle \chi^{\alpha} | \vec{\nabla}_R | \chi^{\beta} \rangle \cdot \vec{R}} \right)$$

non-adiabatic coupling



# Theoretical Questions



- ✓ How to couple quantum and classical dynamics?  
quantum back-reaction on classical variables
- ✓ Can one do better than classical mechanics for nuclear motion?  
zero-point motion, tunneling, branching, loss of coherence

## Recently developed methods

Decoherence induced surface hopping (DISH) *JCP* **137**, 22A545 (2012)

Self-consistent FSSH (SC-FSSH) *JPC-L* **5**, 713 (2014)

Global flux surface hopping (GFSH) *JCTC*, in press

Coherence penalty functional (CPF) *JCP* **140**, 194107 (2014)

Second quantized surface hopping (SQUASH) *PRL*, in press



# PYXAID: PYthon eXtension of Ab Initio Dynamics

Akimov, Prezhdo, *J. Theor. Comp. Chem.* **9**, 4959 (2013);  
*ibid.* **10**, 789 (2014)

Set of Python scripts, currently interfaced with Quantum Espresso, can be trivially interfaced with other DFT codes, e.g. VASP



<http://gdriv.es/pyxaid>

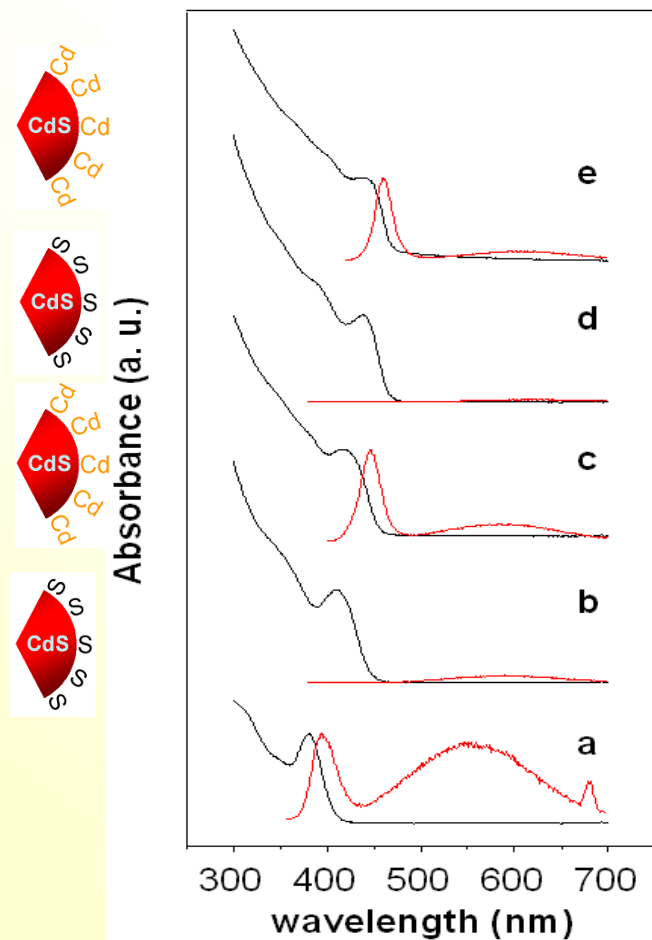
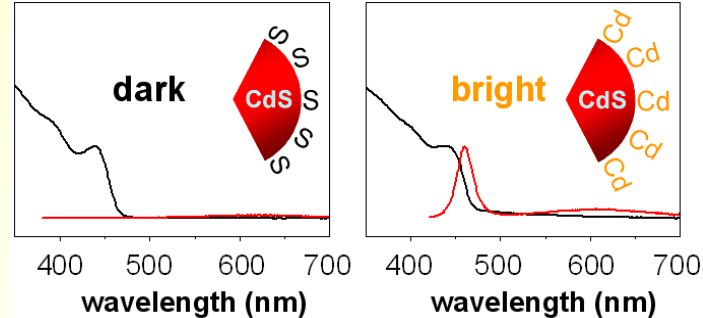
by Dr. Alexey Akimov





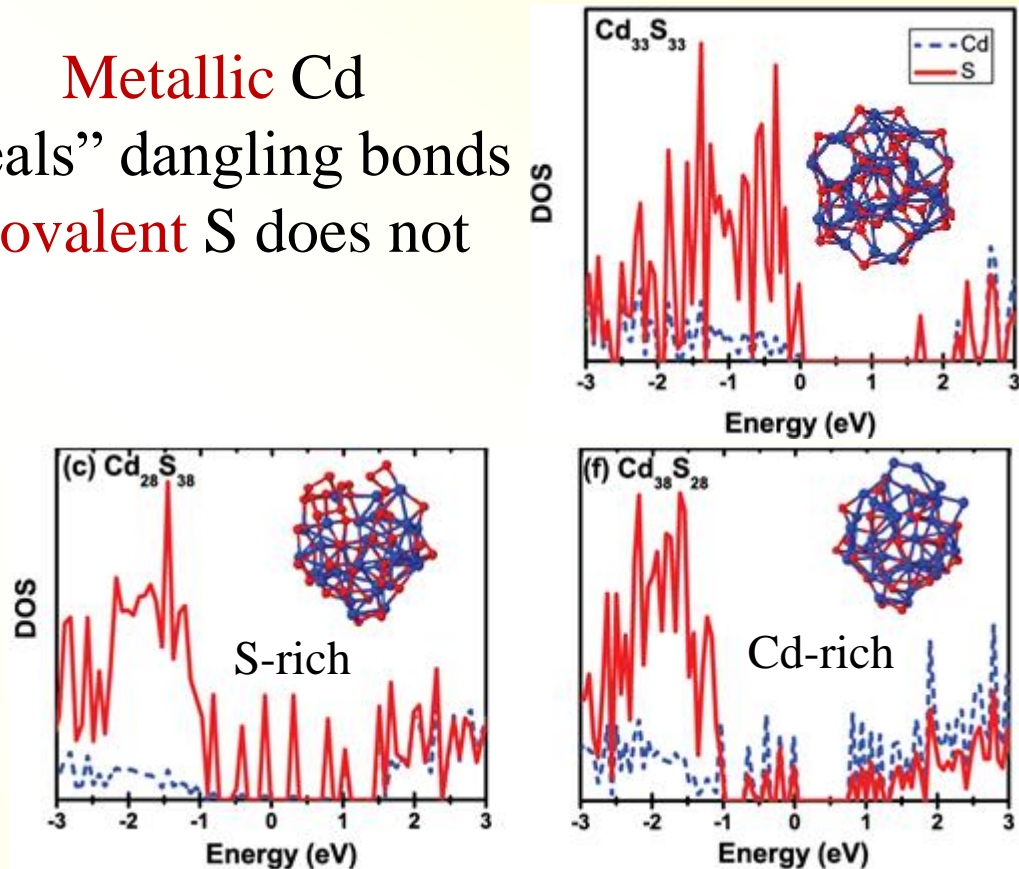
# Surface Chemistry Controls Relaxation

Wei, Evans, Swartz, Neukirch, Young, Prezhdo, Krauss, *Nano Lett* **12**, 4465 (2012)



**Metallic Cd**  
 “heals” dangling bonds  
**Covalent S** does not

Emission (a. u.)



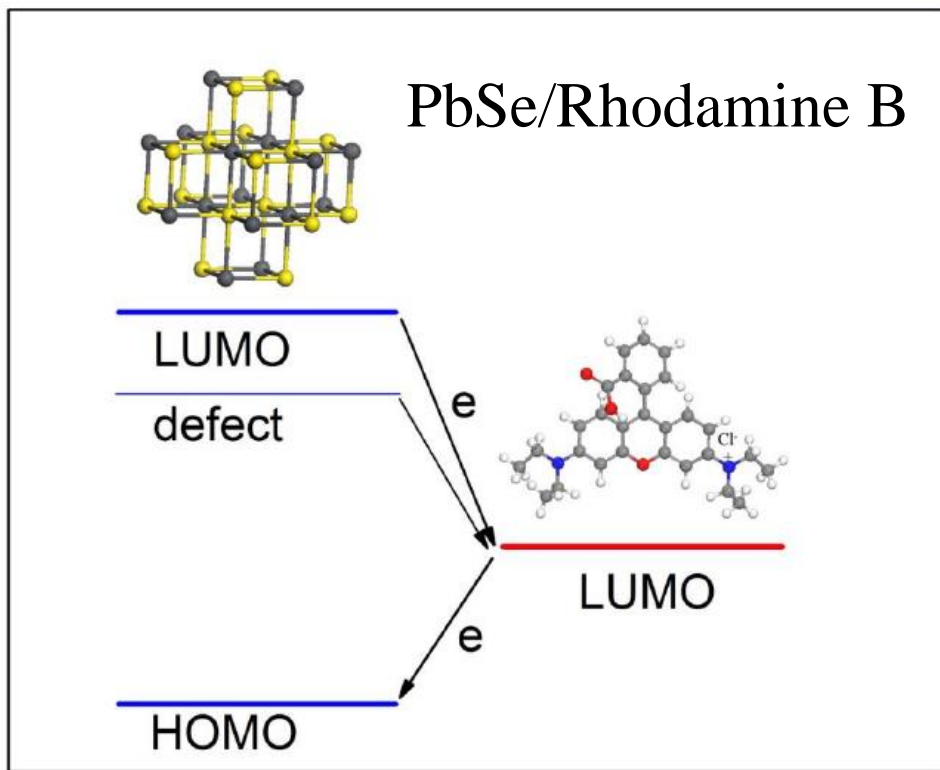
Surface states facilitate non-radiative relaxation





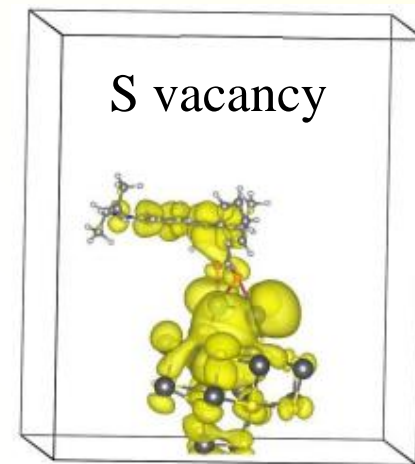
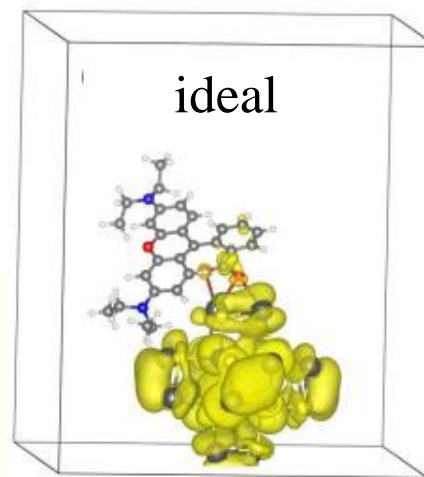
# Defects Help Charge Separation

L. Run, N. English, O. V. Prezhdo *J. Am. Chem. Soc.* **135**, 18892 (2013)



ET time (ps)

	forward	backward
Exp:	0.4	9
Ideal:	3.4	10
Defect:	1.0	



QD LUMO

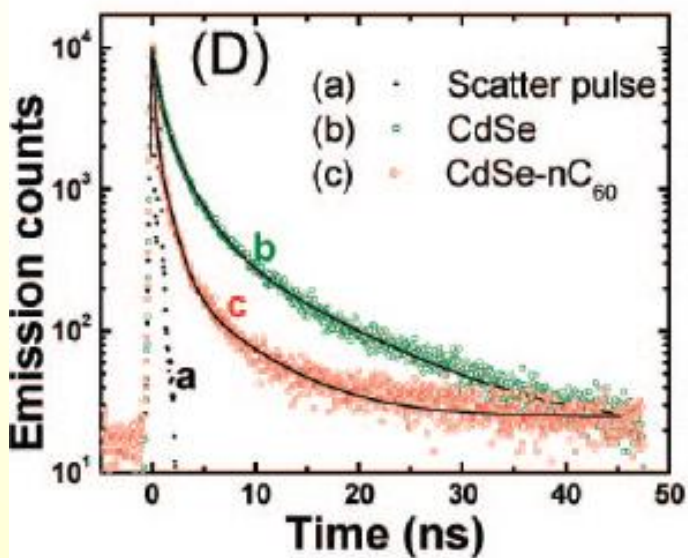
Sulfur vacancy lowers donor-acceptor energy gap (20%) and increases NA coupling (factor of 2)



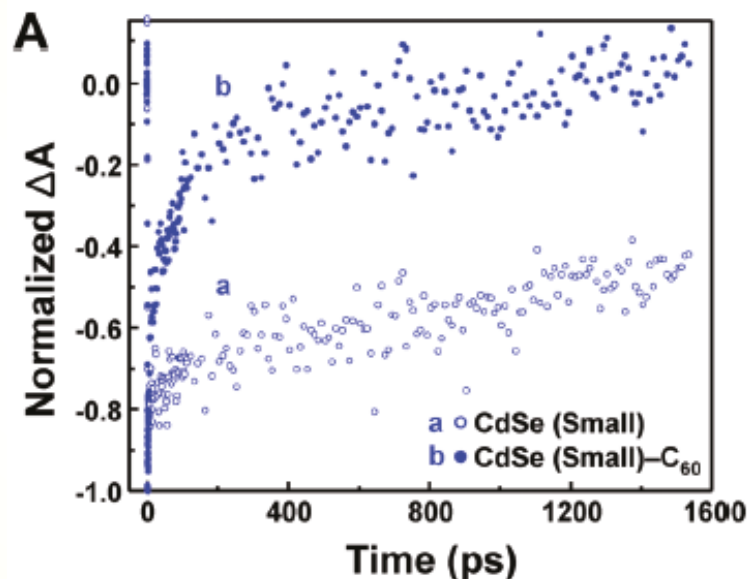
# ET between CdSe QD and C<sub>60</sub>

Chaban & Prezhdo, *J. Phys. Chem. Lett* **4**, 1 (2013)

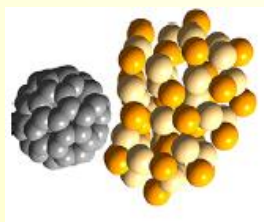
Mechanical mixture: 10ns



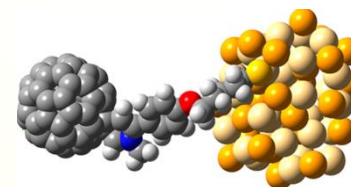
Bridged: 10-100ps



Brown & Kamat, *JACS* **130**, 8891 (2008)    Bang & Kamat, *ACS Nano* **12**, 9421 (2011)



<= closer contact  
faster dynamics =>

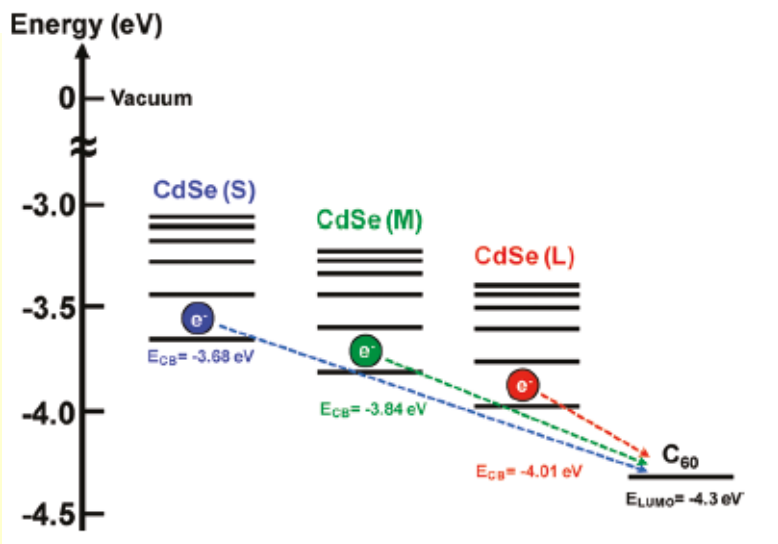




# ET between CdSe QD and C<sub>60</sub>

Chaban & Prezhdo, *J. Phys. Chem. Lett* **4**, 1 (2013)

system	ET time, ps	dephasing time, fs	NA coupling, meV	binding energy, kJ mol <sup>-1</sup>
bridge	7.66	15	2.96 (6.85)	4853
mixture	37.4	11	0.874 (1.29)	19.0
with Li	27.7	20	1.60 (2.54)	51.3

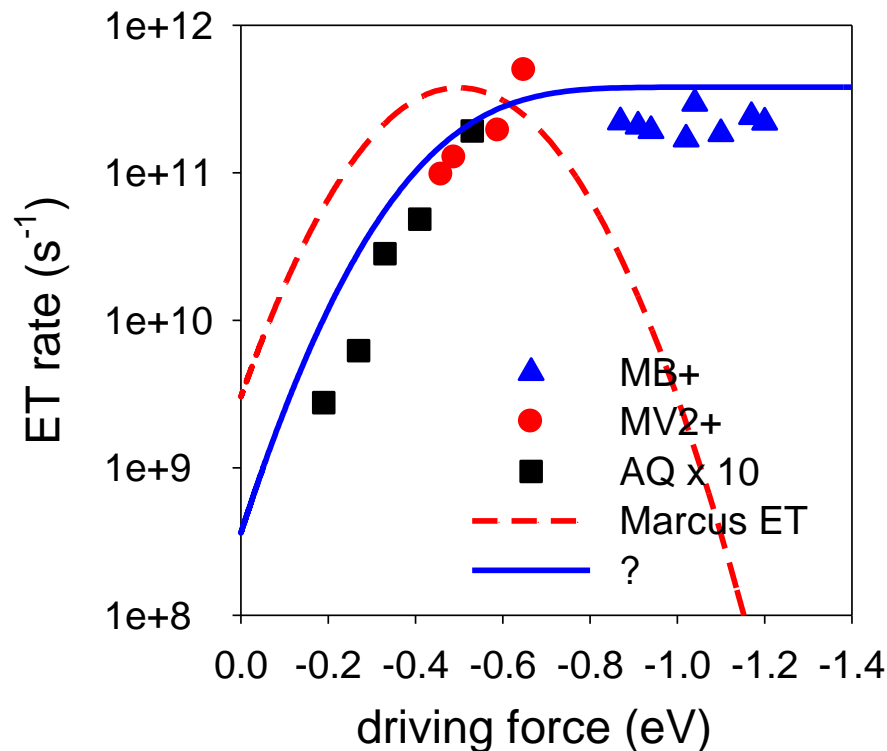
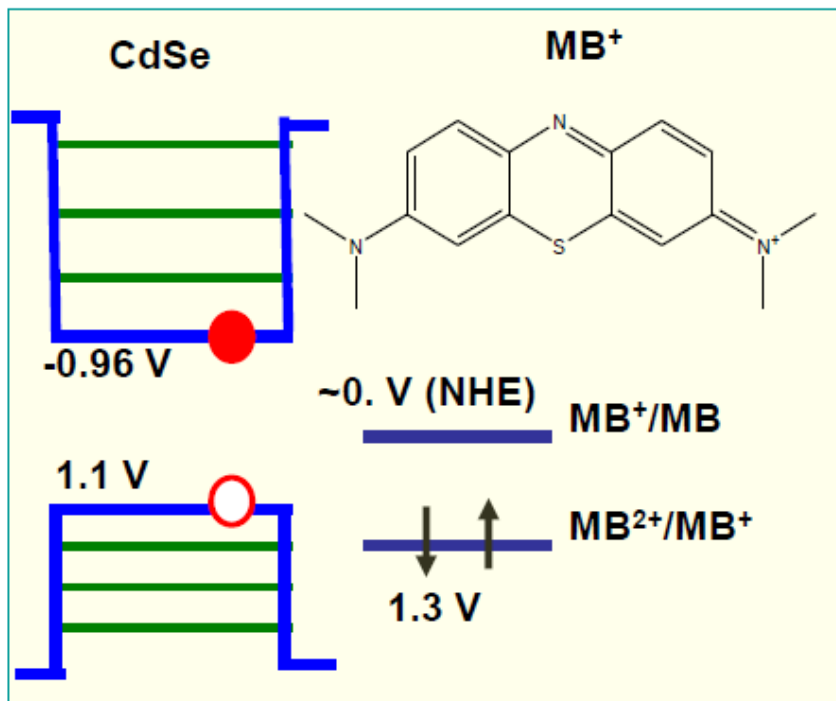


Bridge provides strong NA electron-phonon coupling needed to remove excess electron energy



# Auger-assisted ET

Zhu, Yang, Hyeon-Deuk, Califano, Song, Wang,  
Zhang, Prezhd, Lian, *Nano Lett.* **14**, 1263 (2014)



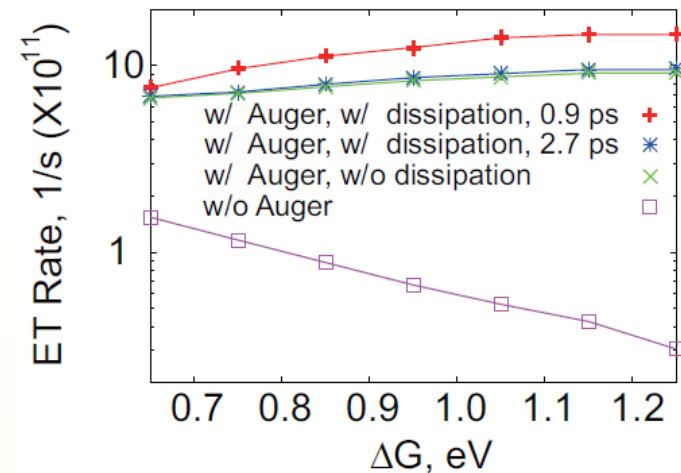
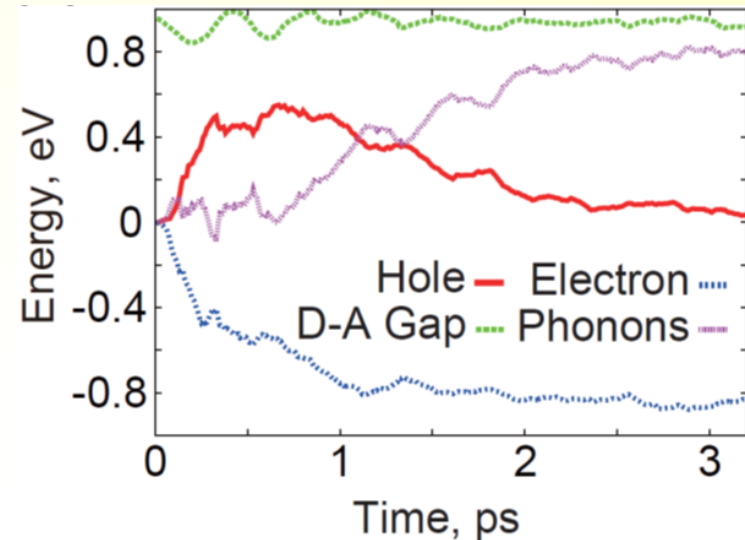
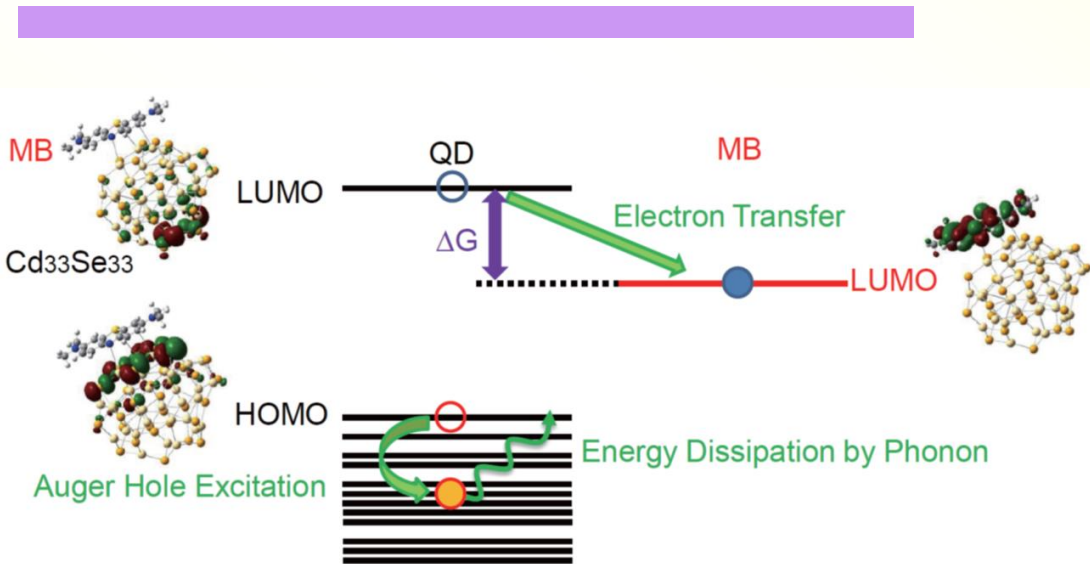
Why is there no Marcus inverted region?

$$k(r) \propto e^{-\frac{[\Delta G(r) + \lambda]^2}{4\lambda RT}}$$



# Auger-assisted ET

Zhu, Yang, Hyeon-Deuk, Califano, Song, Wang,  
Zhang, Prezhd, Lian, *Nano Lett.* **14**, 1263 (2014)

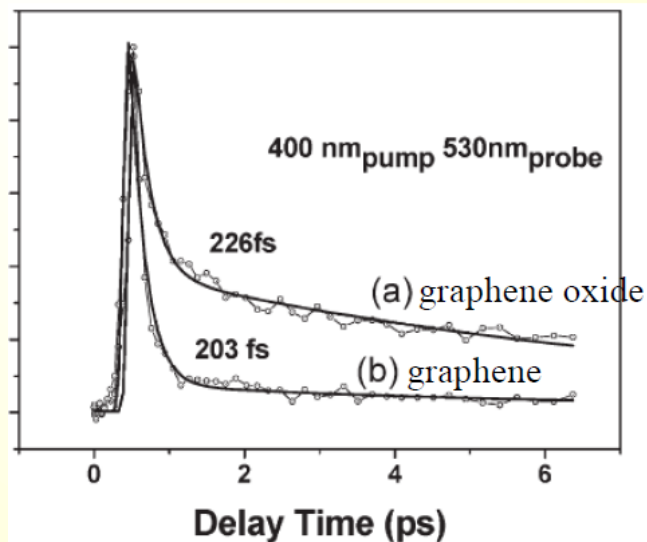


- Normally, excess energy goes to phonons
- In QDs, hole excitation accompanies ET
- Then, hole transfers energy to phonons



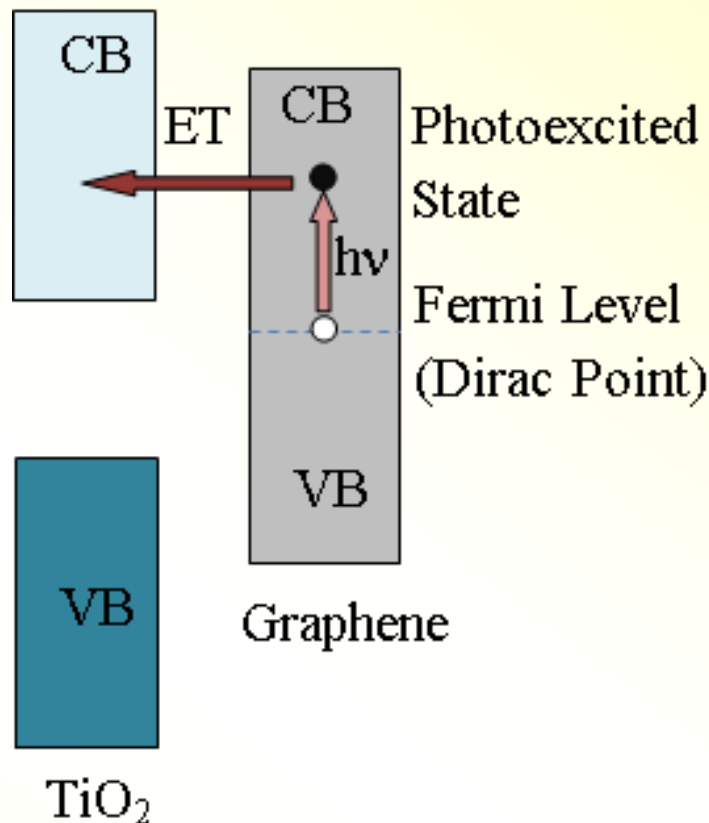
# ET in Graphene-TiO<sub>2</sub>

Long, English, Prezhdoo *JACS* **134**, 14238 (2012)  
chosen for *JACS* Spotlight



Manga, Zhou, Yan, Loh

*Adv. Funct. Mat.* **19** 3638 (2009)



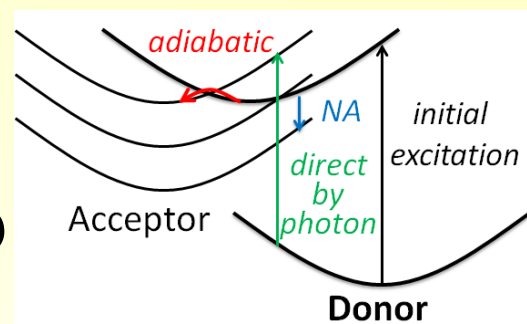
Graphene is an excellent hole conductor (e.g. no need for electrolyte),  
However, it is a metal: electrons and holes can annihilate, not separate

*Can electrons transfer into TiO<sub>2</sub> before they relax?*



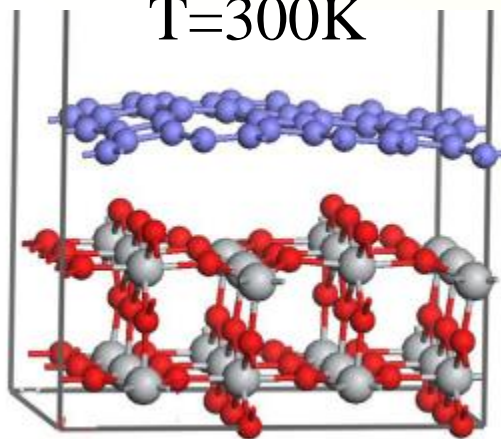
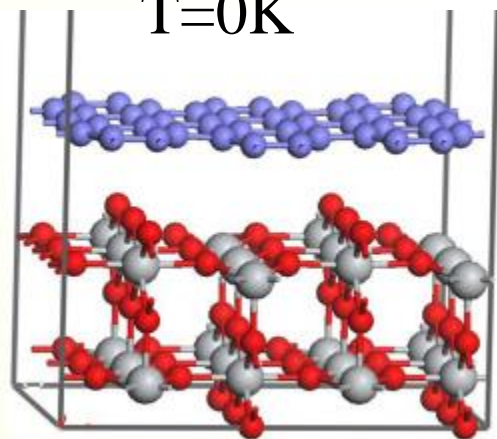
# Graphene-TiO<sub>2</sub>

Long, English, Prezhdo *JACS* **134**, 14238 (2012)  
chosen for *JACS* Spotlight

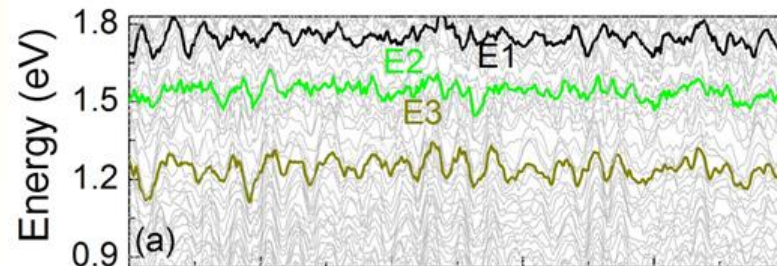
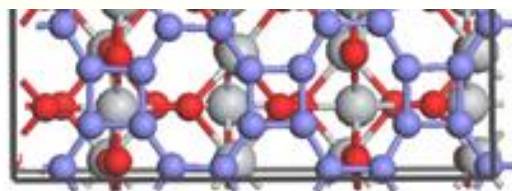


T=0K

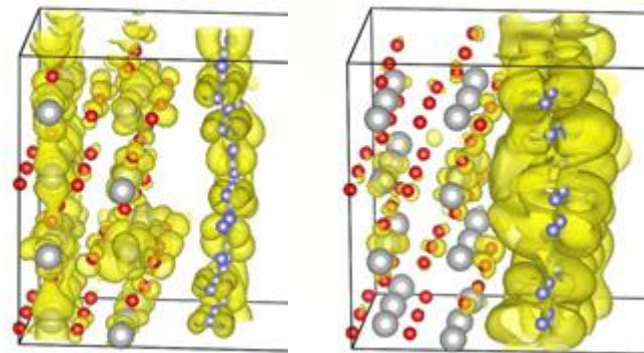
T=300K



Chemisorption  
at room T



Photoexcited states

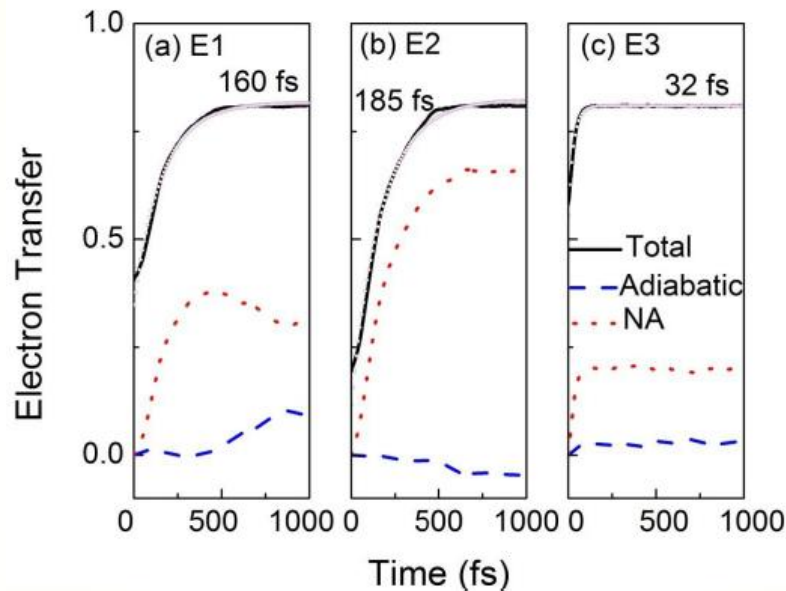
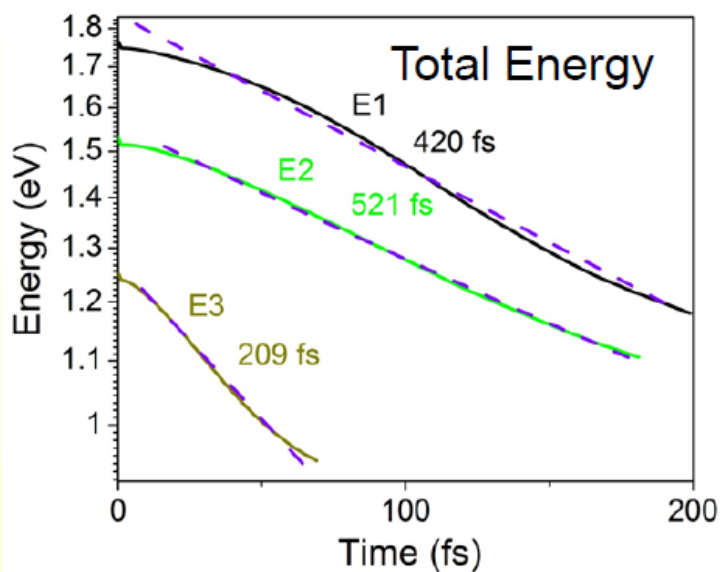
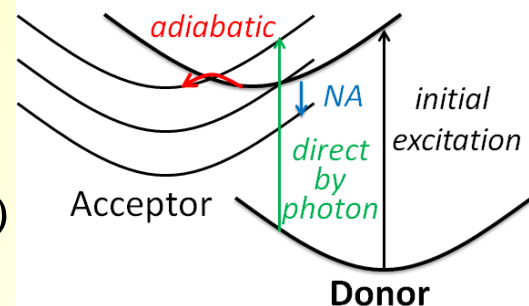


“Direct” ET



# Graphene-TiO<sub>2</sub>

Long, English, Prezhdo *JACS* **134**, 14238 (2012)  
chosen for *JACS* Spotlight



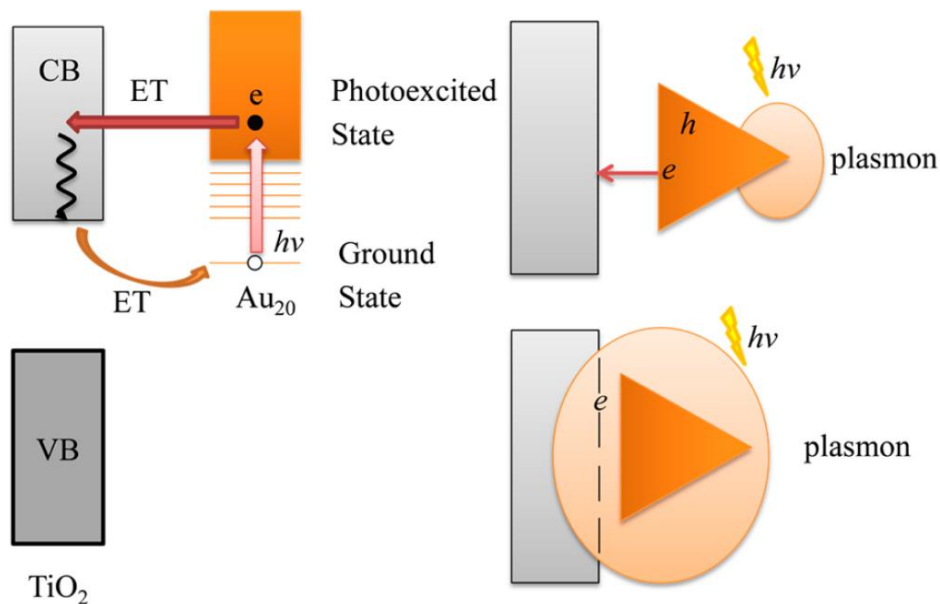
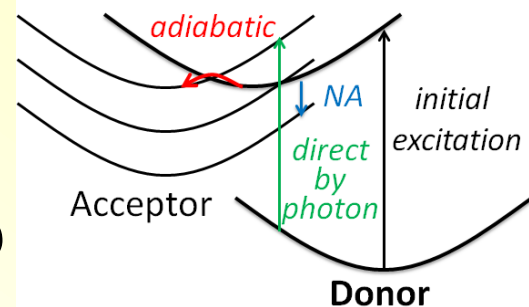
- ET consistently faster than energy loss
- Fast ET due to strong donor-acceptor coupling
- NA ET, though coupling is strong; dense state manifold
- 30-60% of direct ET, delocalized excited state





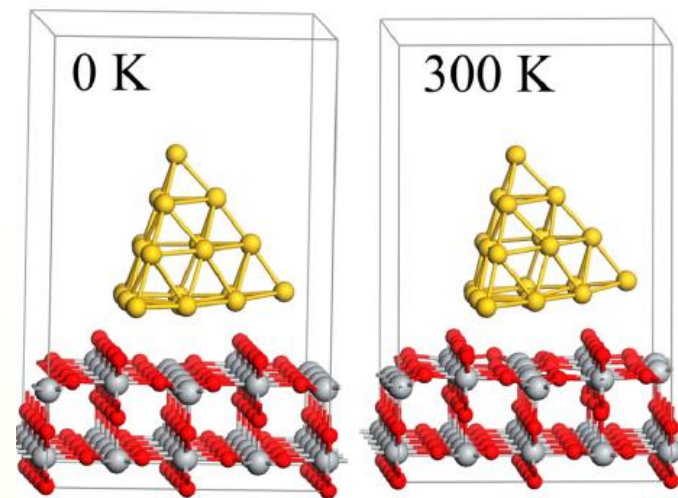
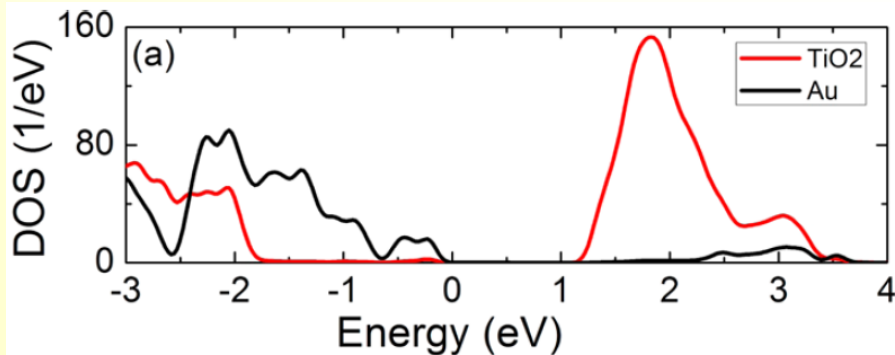
# Plasmon-driven ET

Long, English, Prezhdo *JACS* **136**, 4343 (2014)



– traditional view

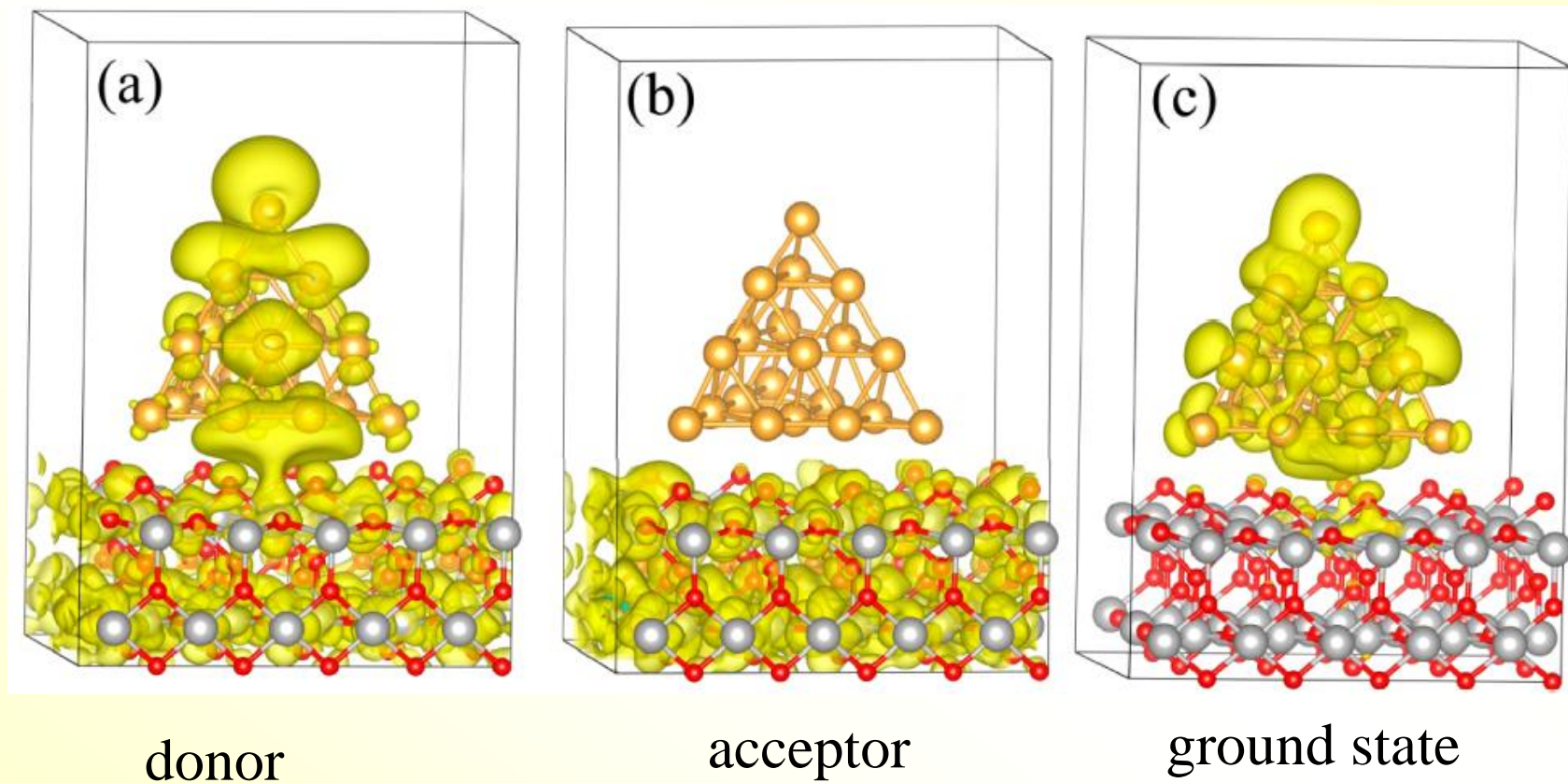
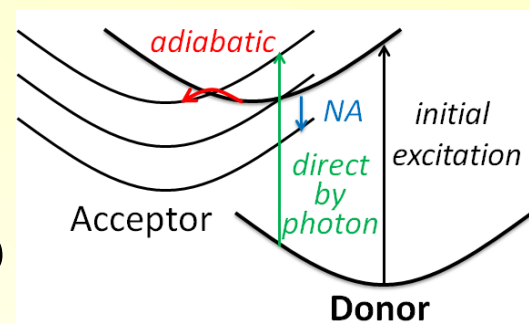
– our calculation





# Plasmon-driven ET

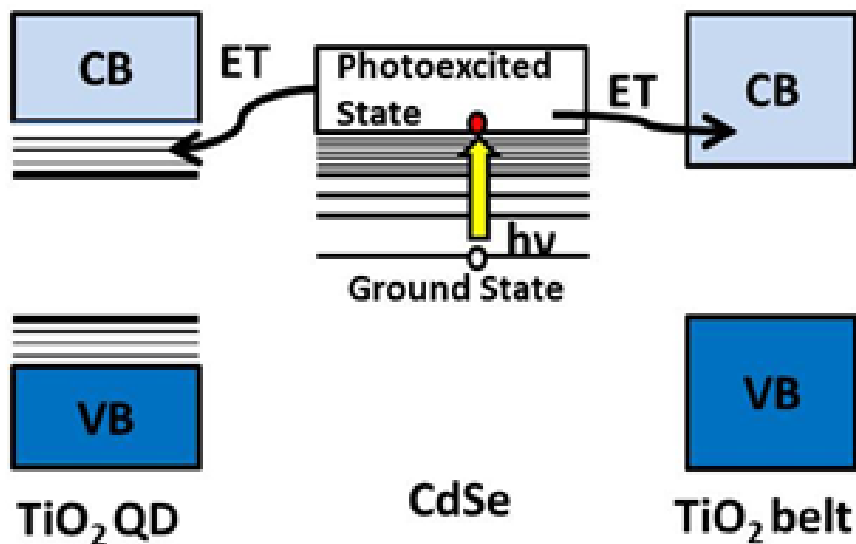
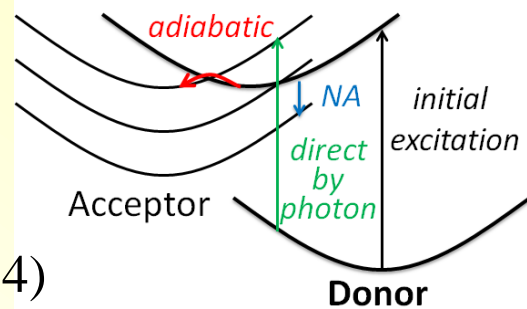
Long, English, Prezhdoo *JACS* **136**, 4343 (2014)





# Dimensionality and ET Mechanism

Tafen, Long, Prezhdoo *Nano Lett.* **14**, 1790 (2014)

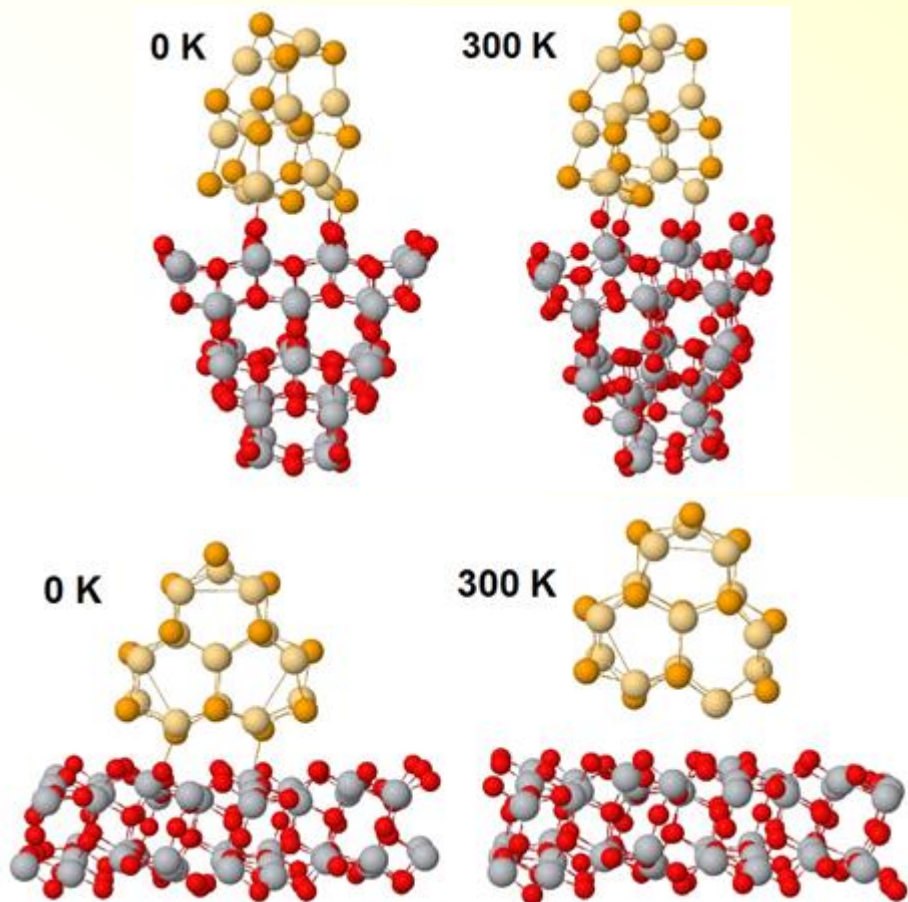


adiabatic

non-adiabatic

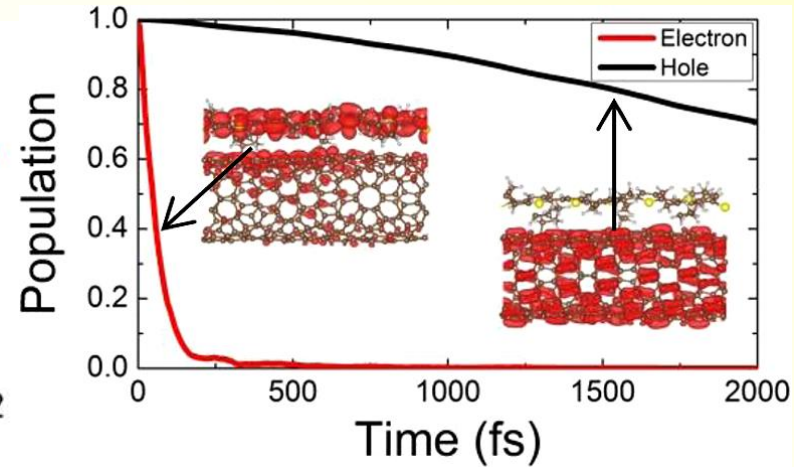
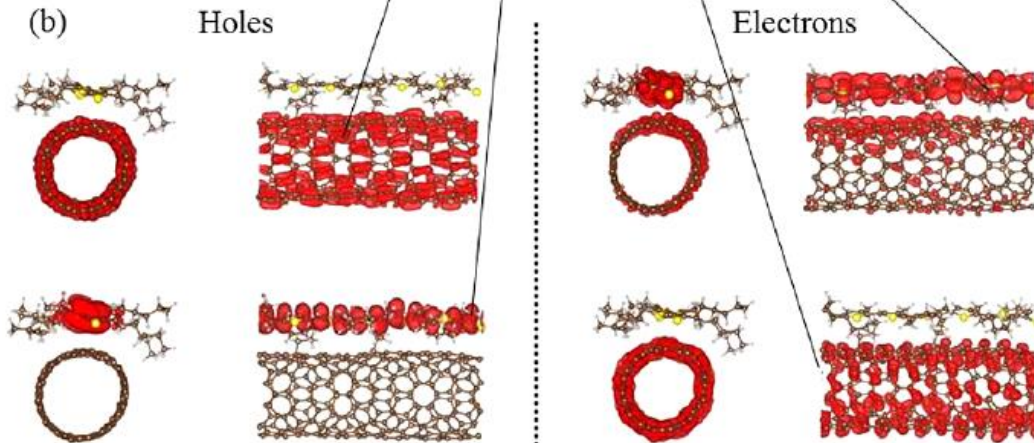
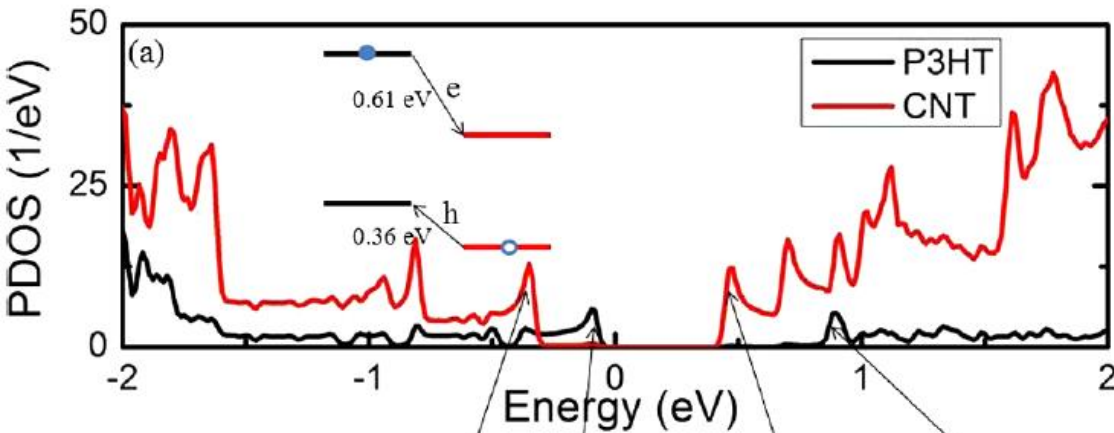
chemical bonding  
low state density

weak coupling  
high state density



# CNT/Polymer Asymmetry

Long, Prezhdoo *Nano Lett*, **14**, 3335 (2014)

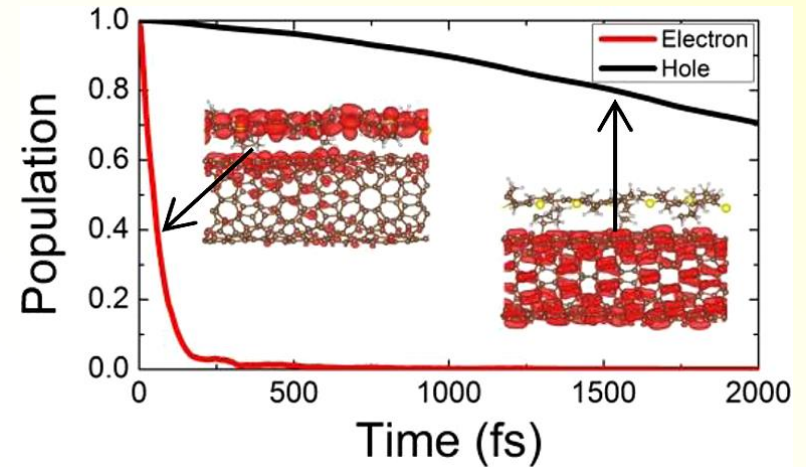
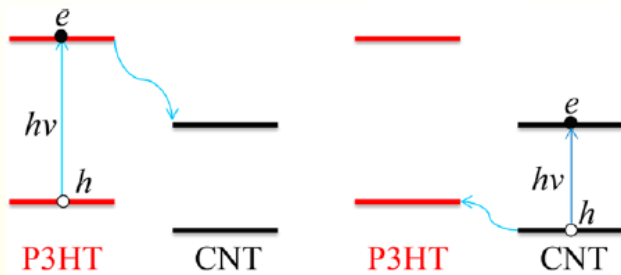


Much slower charge separation after CNT excitation: smaller acceptor DOS



# CNT/Polymer Asymmetry

Long, Prezhdoo *Nano Lett*, **14**, 3335 (2014)



*More CNT*: harvest broader light spectrum; reduce energy/voltage losses  
*More P3HT*: better charge separation and higher current

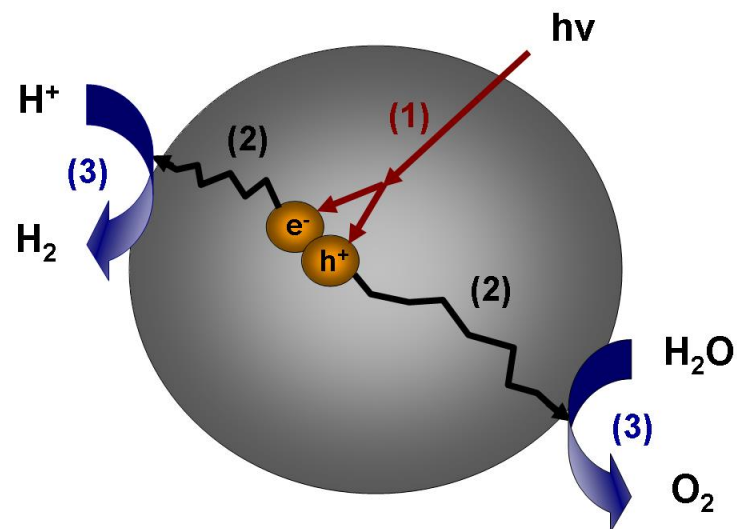
# Photocatalytic water splitting

Akimov, Muckerman, Prezhdo *JACS*, 2013, 135, 8682

**Solar (renewable) fuel** – photocatalytic water splitting:



**Materials:** GaN (UV), GaN:ZnO (visible light); still not optimal

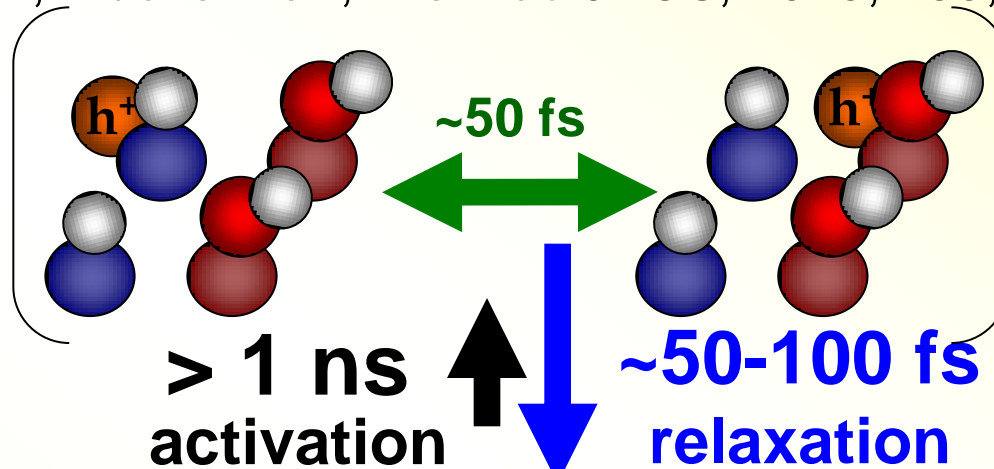


- what is the ***dynamics of the photohole*** in GaN/water?
- how does it affect the ***efficiency*** of the photocatalytic water splitting?

# Comprehensive Kinetics

Akimov, Muckerman, Prezhdo *JACS*, 2013, 135, 8682

Hole generation/  
migration

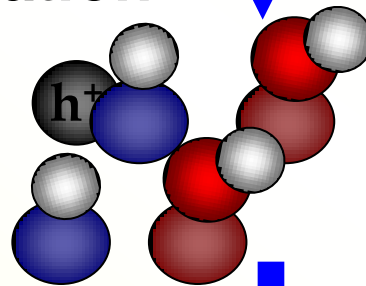


water  
splitting

N-H deprotonation

$> 50$  ps

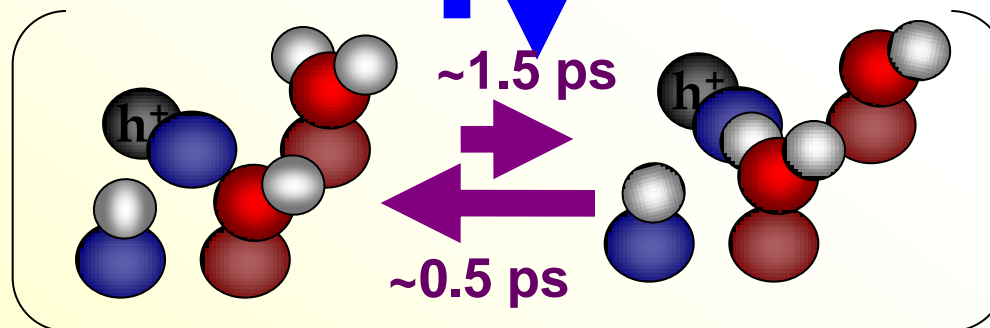
$> 1$  ps



Proton transfer

$\sim 1.5$  ps

$\sim 0.5$  ps

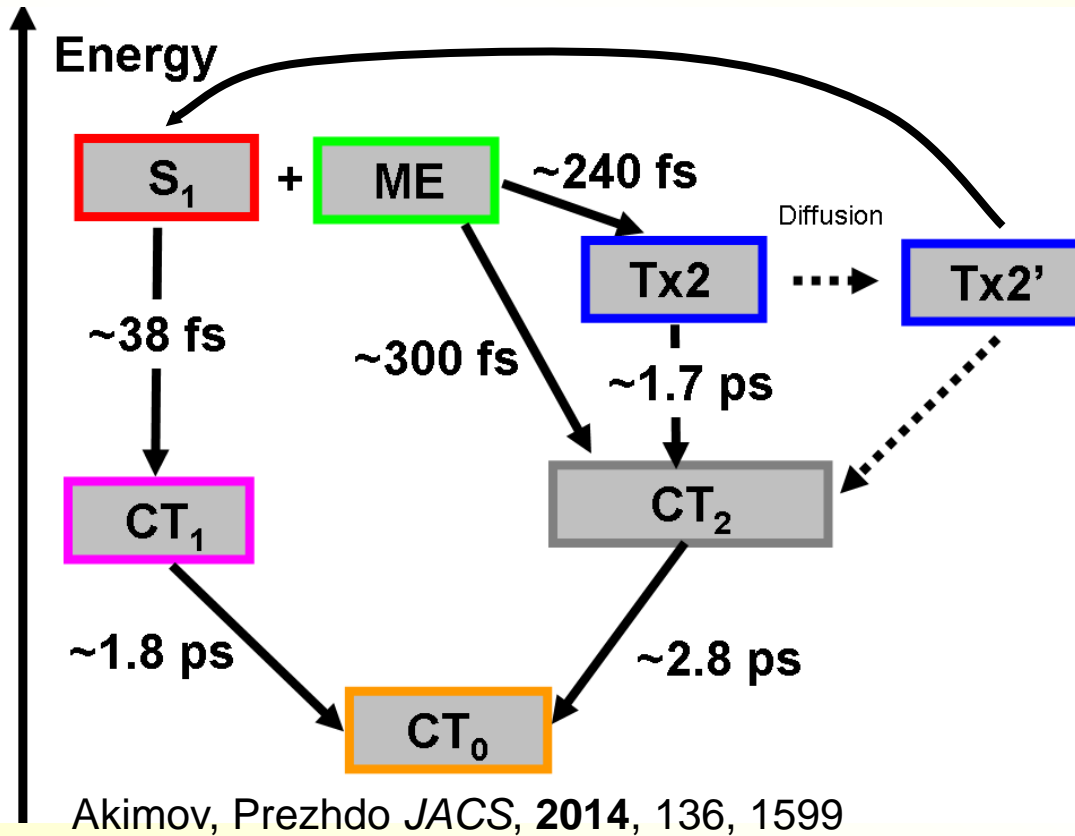






# Comprehensive Kinetics

Akimov, Prezhdo *JACS*, 2014, 136, 1599



- 1) Reproduce experimental timescales
- 2) CT competes with SF, reducing efficiency
- 3) Intermediate ME and CT states are important
- 4)  $S_1$  to ME transition is slow
- 5) Resolved inconsistency in energy alignment,  $CT_0$

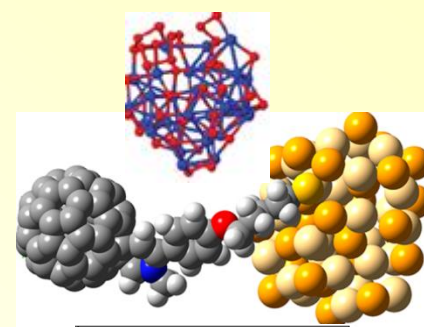
## SF photovoltaic design principles

$S_1$  and ME should be coupled during photoexcitation

Thick pentacene layer slows down CT, allowing SF to happen



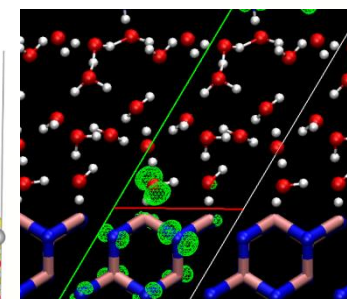
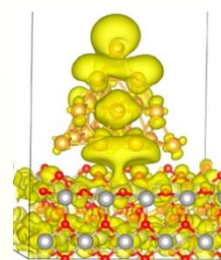
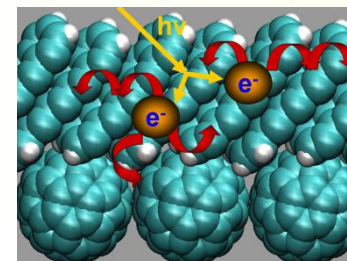
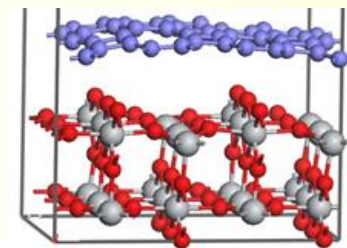
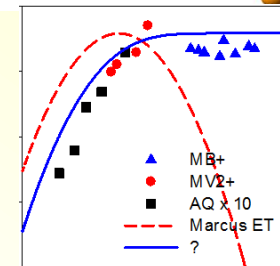
# In Lieu of Conclusions



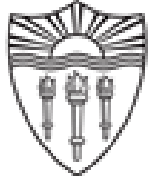
## ➤ Nonadiabatic Molecular Dynamics & Time-Dependent Density Functional Theory

## ➤ Applications

- Are defects good or bad for QD applications?
- Why long bridge accelerates ET from QD to  $C_{60}$ ?
- Lack of Marcus inverted regime – Auger assisted ET
- Why graphene (metal!) can be used as light-harvester?
- Instantaneous plasmon-driven ET
- Dimensionality and ET mechanism
- Exploiting asymmetry of ET in CNT/polymer systems
- Why  $H_2O$  splitting is not efficient on GaN?
- Singlet fission vs. charge transfer?



USC

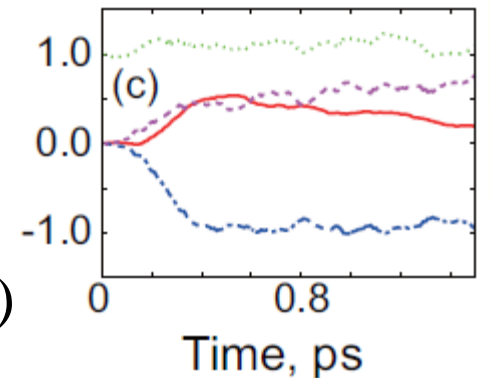
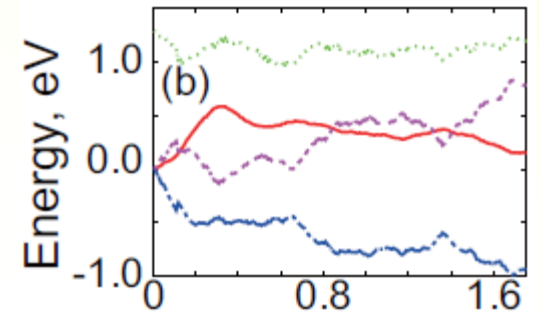
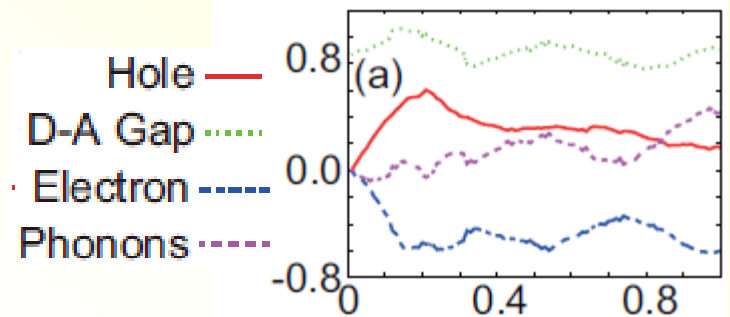
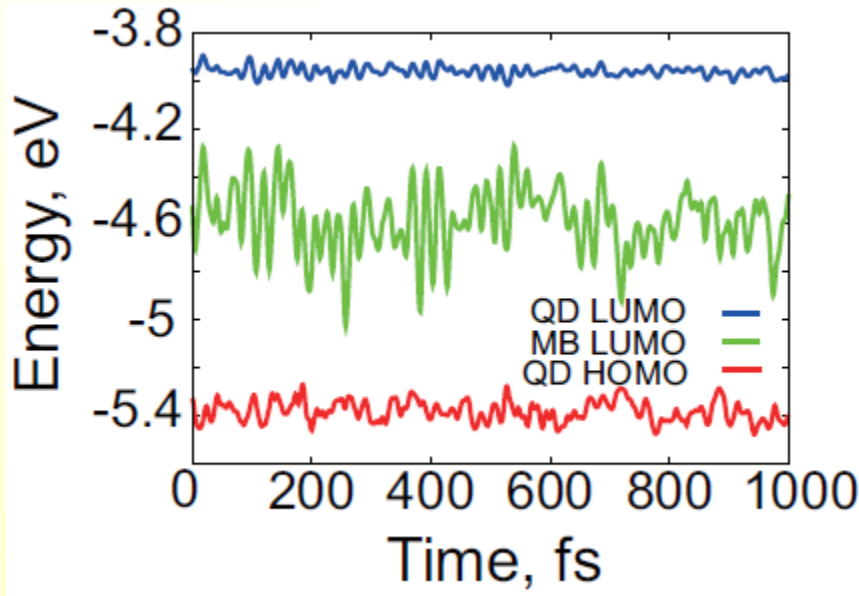




# Auger-assisted ET

Zhu, Yang, Hyeon-Deuk, Califano, Song, Wang,  
Zhang, Prezhd, Lian, *Nano Lett.* **14**, 1263 (2014)

- (a) Electron-hole, then phonons
- (b) Phonons important initially
- (c) Hole and phonons equally important

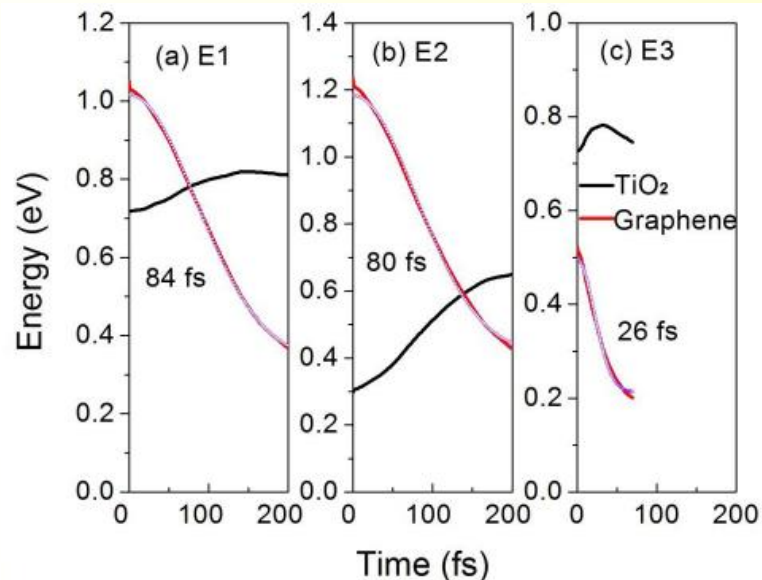
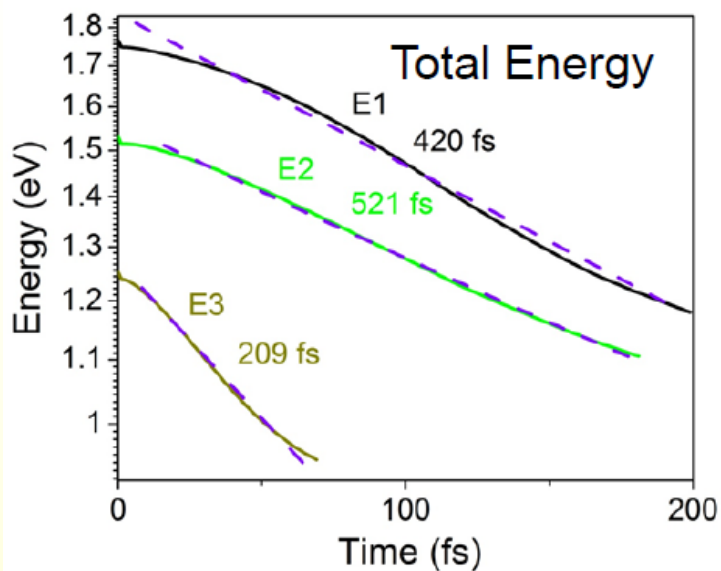
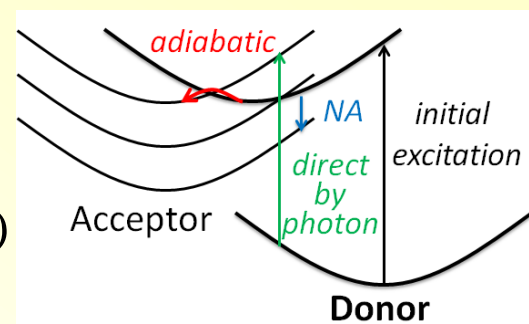


Strong electron-phonon coupling in molecule (MB)



# Graphene-TiO<sub>2</sub>

Long, English, Prezhdo *JACS* **134**, 14238 (2012)  
chosen for *JACS* Spotlight

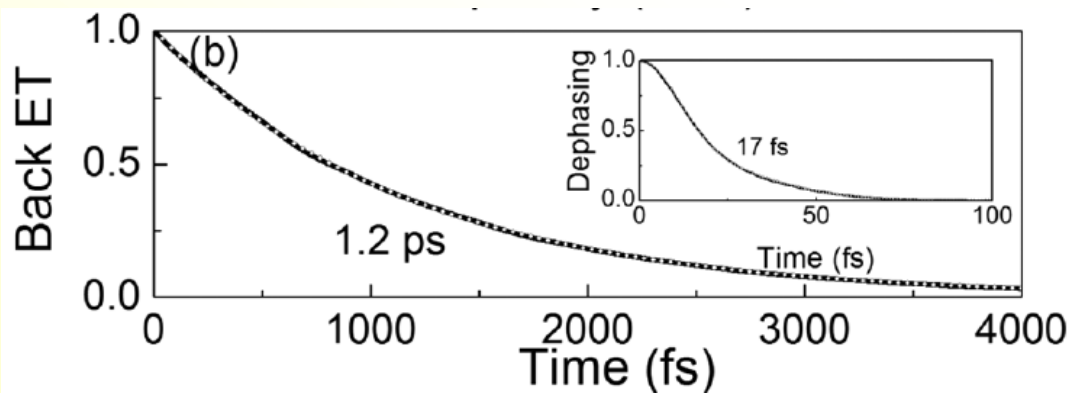
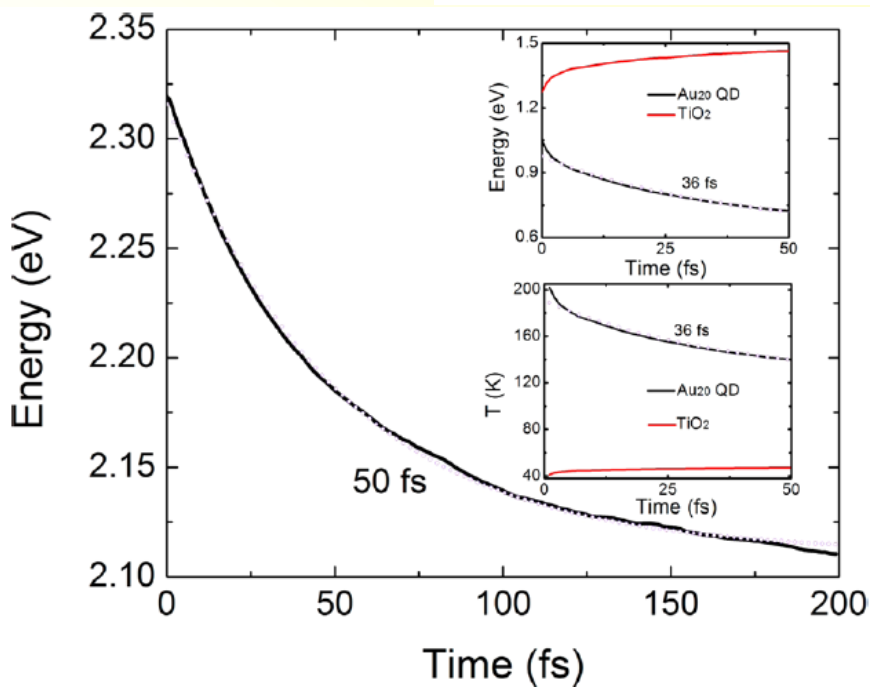
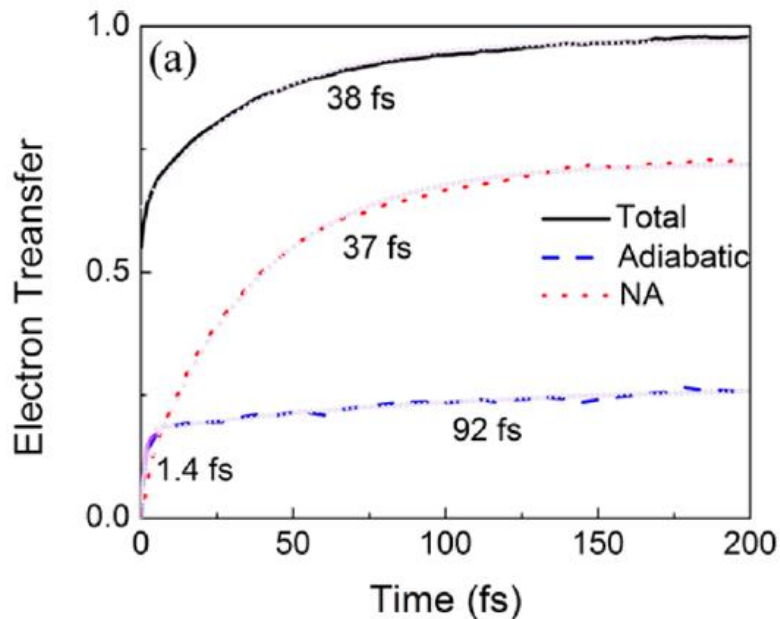
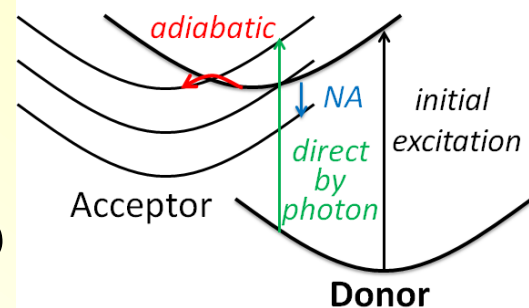


- TiO<sub>2</sub> heats up transiently
- Sub-100fs relaxation in graphene



# Plasmon-driven ET

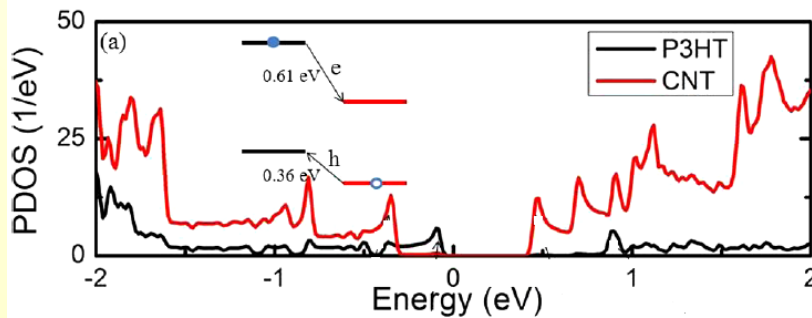
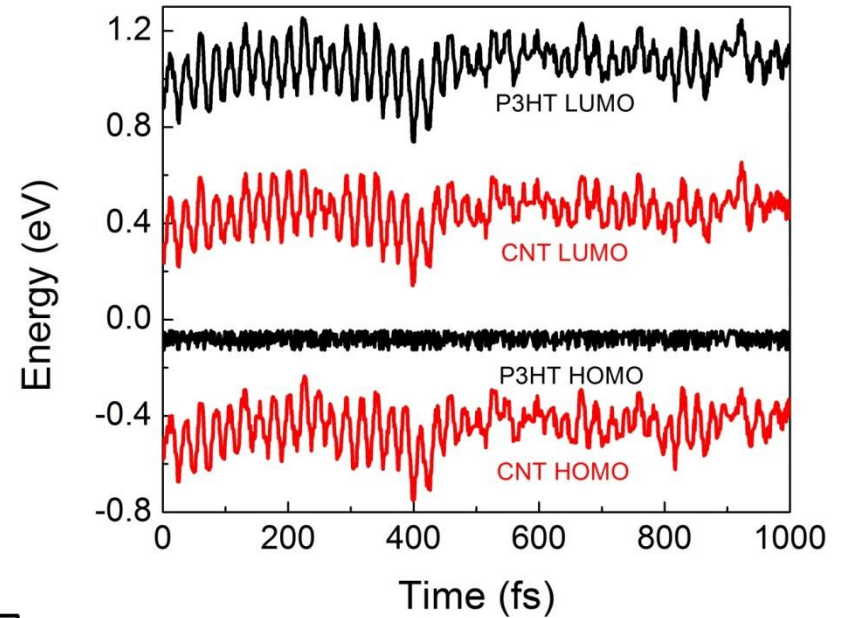
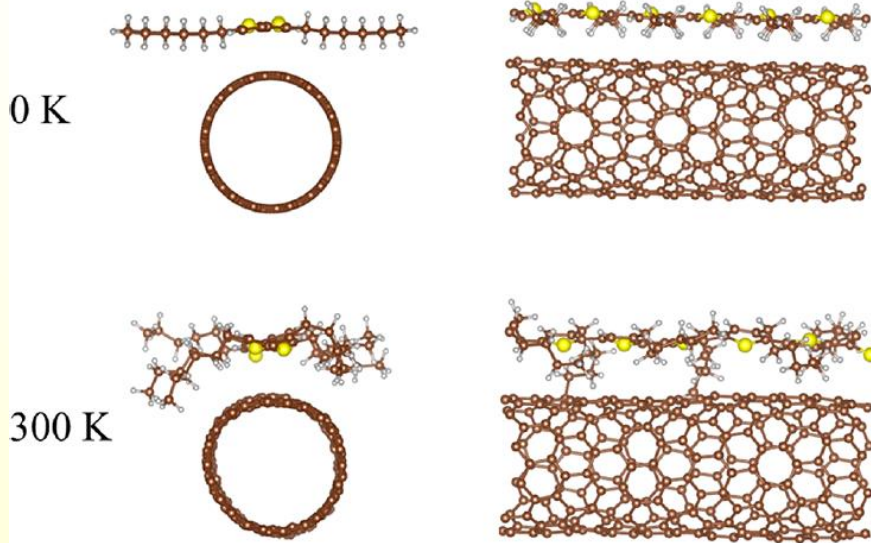
Long, English, Prezhdo *JACS* **136**, 4343 (2014)





# CNT/Polymer Asymmetry

Long, Prezhdo *Nano Lett*, in press



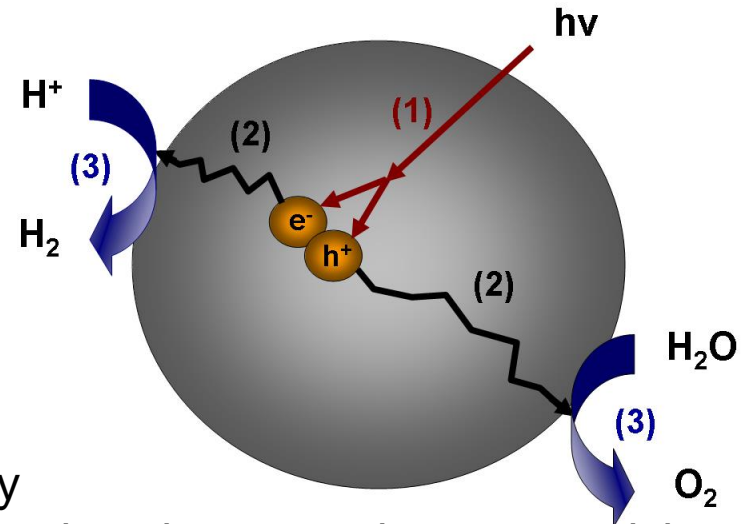
P3HT HOMO

# Photocatalytic water splitting

**Solar (renewable) fuel** – photocatalytic water splitting:



**Materials:** GaN (UV), GaN:ZnO (visible light); still not optimal



**Principles for material search/optimization:**

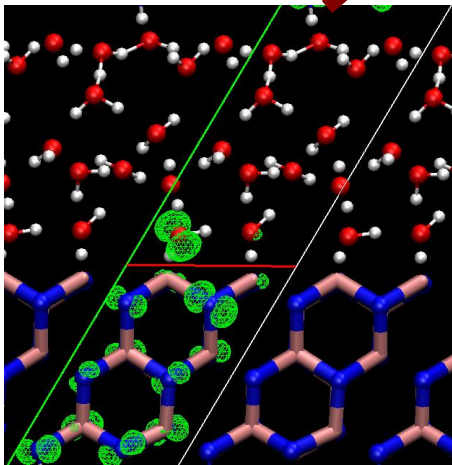
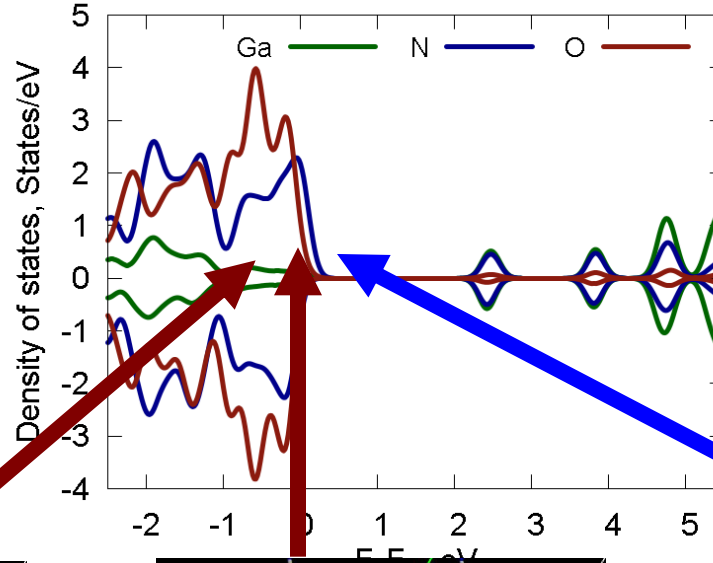
- Light absorption – ability to absorb max energy
- Material properties (surface, purity, defects) – reduce losses, enhance reactivity
- Band edge position – proper Red/Ox potential
- Nanotechnology (core/shell) – reduce products recombination

- what is the ***dynamics of the photohole*** in GaN/water?
- how does it affect the ***efficiency*** of the photocatalytic water splitting?

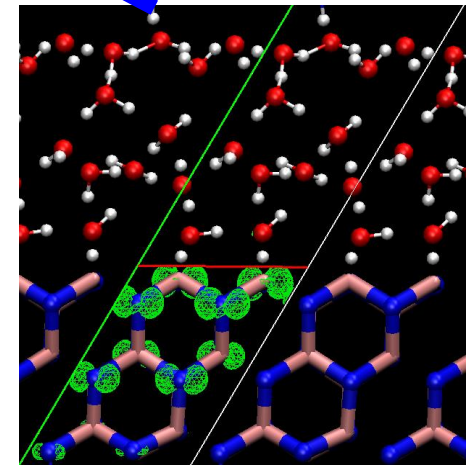
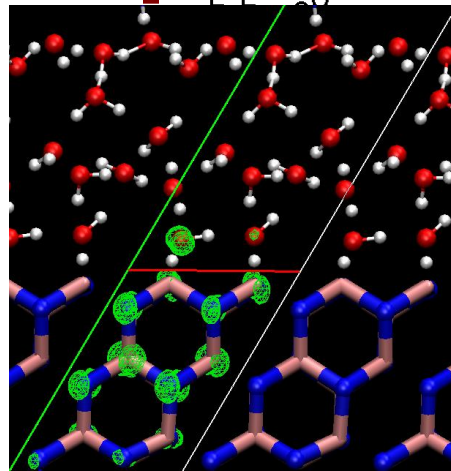


# Hole states

fixed geometry, but different energy levels



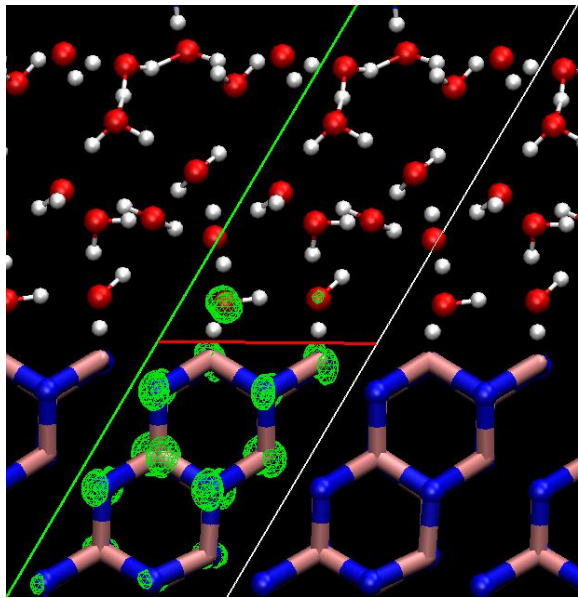
$E_h > 0$ : hole on N and O



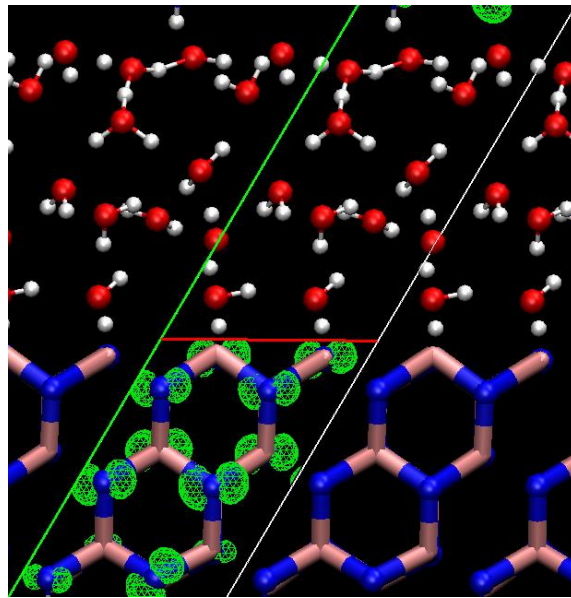
$E_h = 0$ : hole only on N

# Hole diffusion

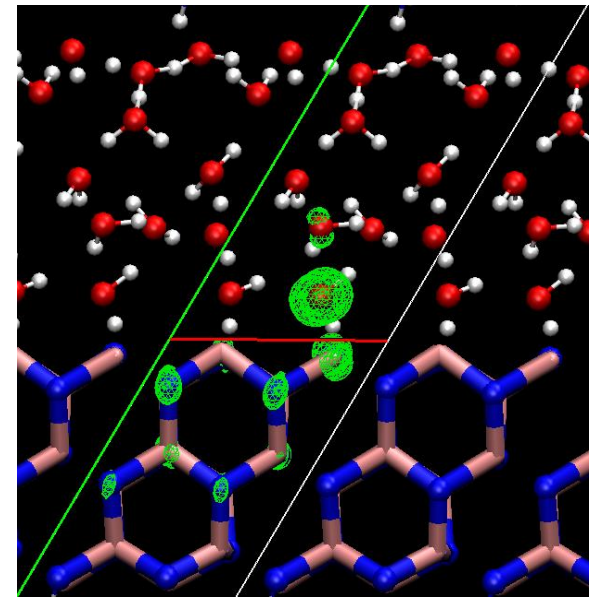
fixed energy level, but different geometries



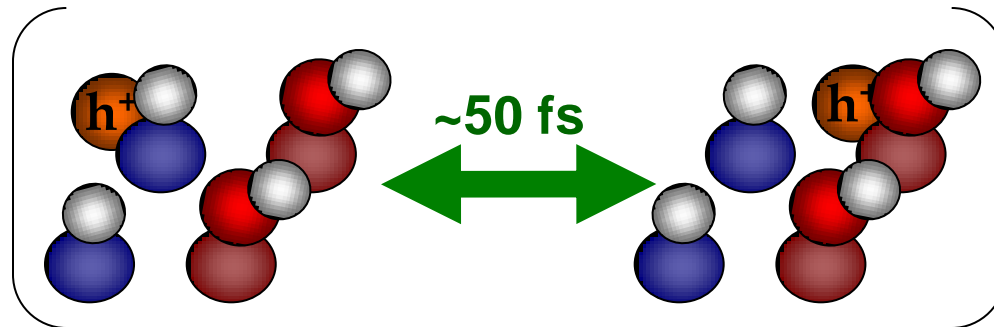
$t = 0 \text{ fs}$



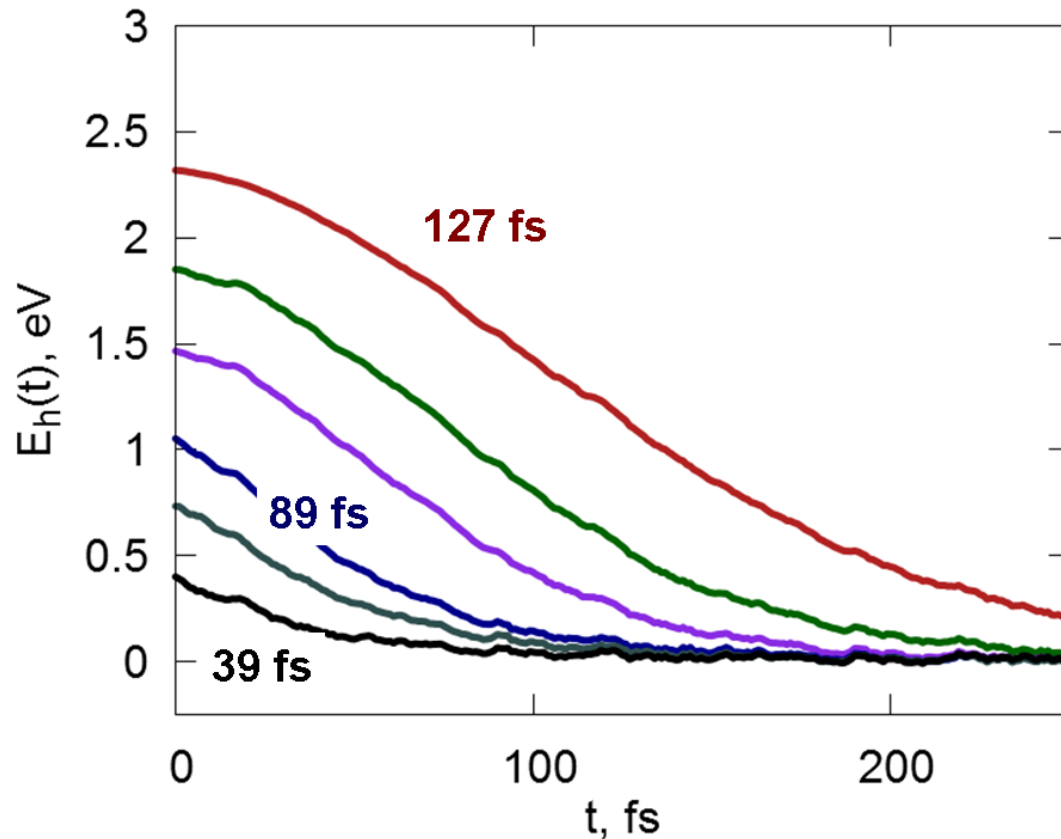
$t = 50 \text{ fs}$



$t = 100 \text{ fs}$



# Hole energy relaxation



$$E_h(t) = \sum_i \varepsilon_i(t) |c_i(t)|^2$$

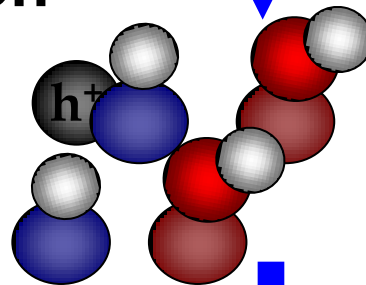
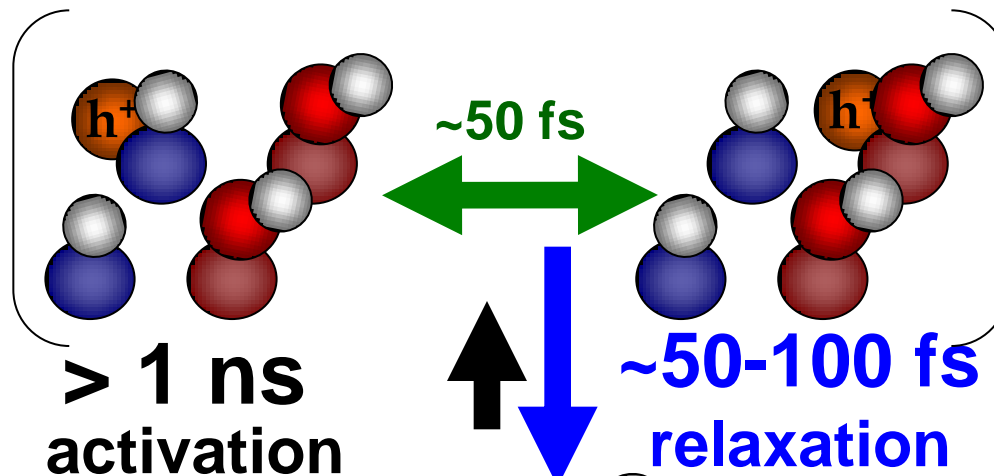
1. Relaxation on the ~50-100 fs time scale
2. Hole only transiently populates O-containing species
3. Eventually ends up on N

What is next?

# Summary

Akimov, Muckerman, Prezhdo *JACS*, 2013, 135, 8682

Hole generation/  
migration

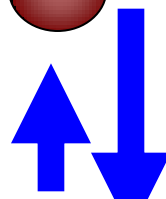


water  
splitting

N-H deprotonation

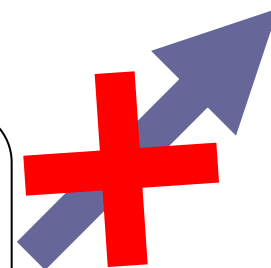
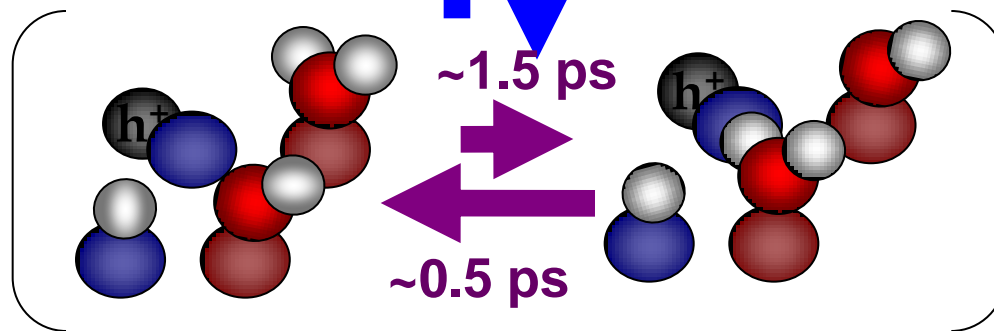
$>50$  ps

$>1$  ps



$\sim 1.5$  ps

Proton transfer



# Photocatalyst design

## Material should:

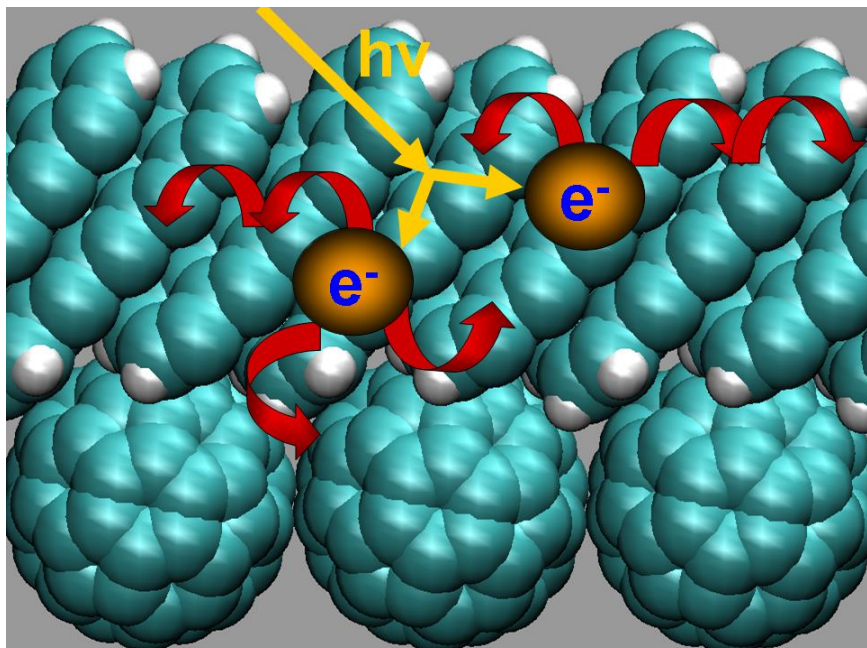
- Favor hole **localization on** interfacial **O**-containing species
- Favor **easy thermal activation** of hole onto O-states
- Favor **slow** hole **relaxation**

## Ways:

- Dopants
- Oxides
- Surface modification

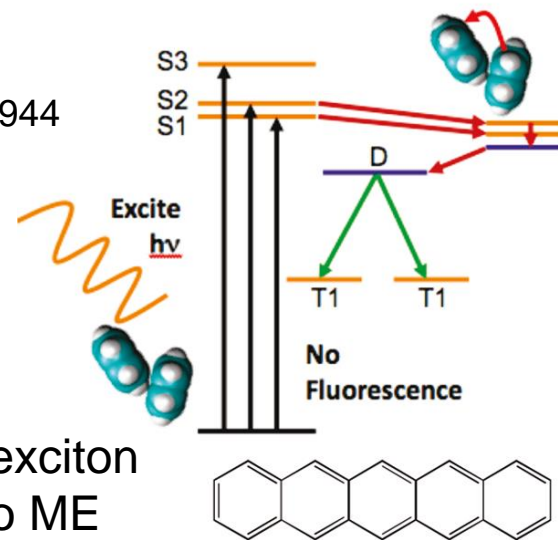
# Singlet fission

## Singlet Fission (a.k.a. multiple exciton generation)



1 photon = 2 electrons:  
max ~44%

Zimmerman et al.  
*JACS* 2011, 133, 19944

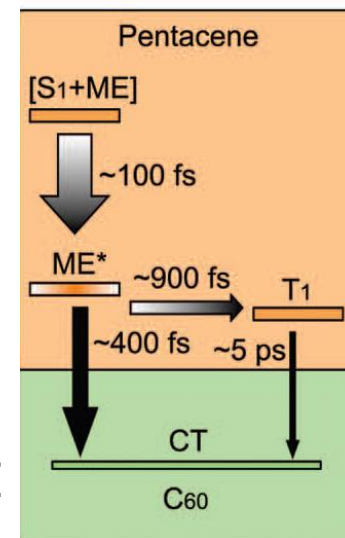


spontaneous  $S_1$  exciton  
localization into ME

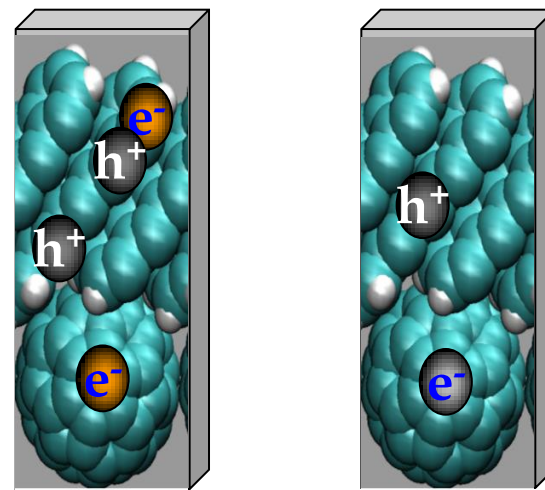
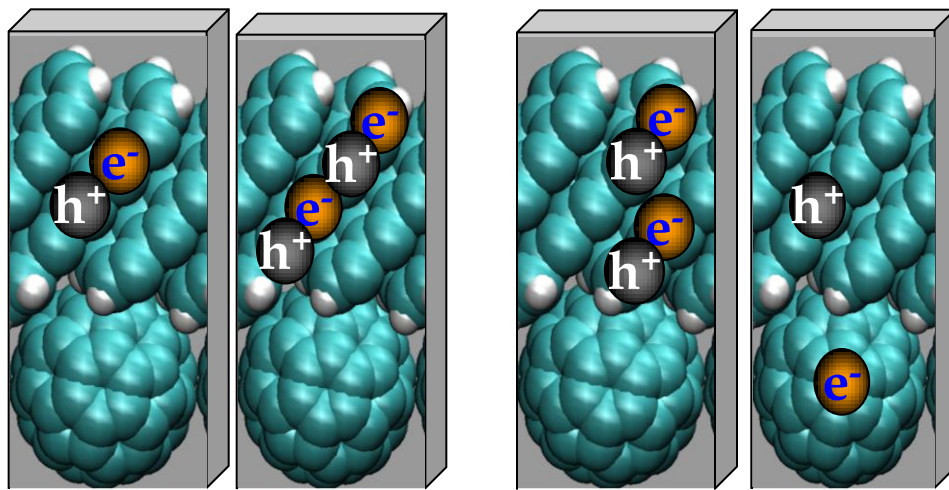
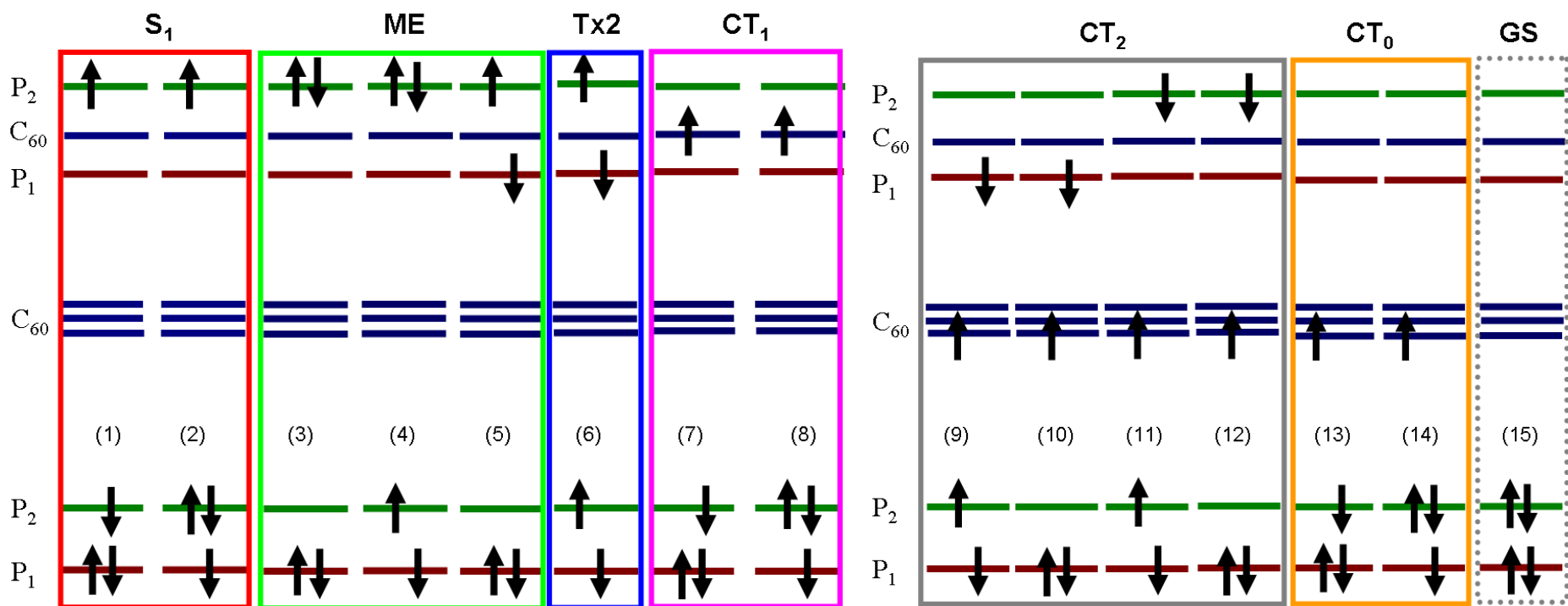
Chan et al.  
*Science* 2011, 334, 1541

observation of ME  
ME does not  
originate from  $S_1$

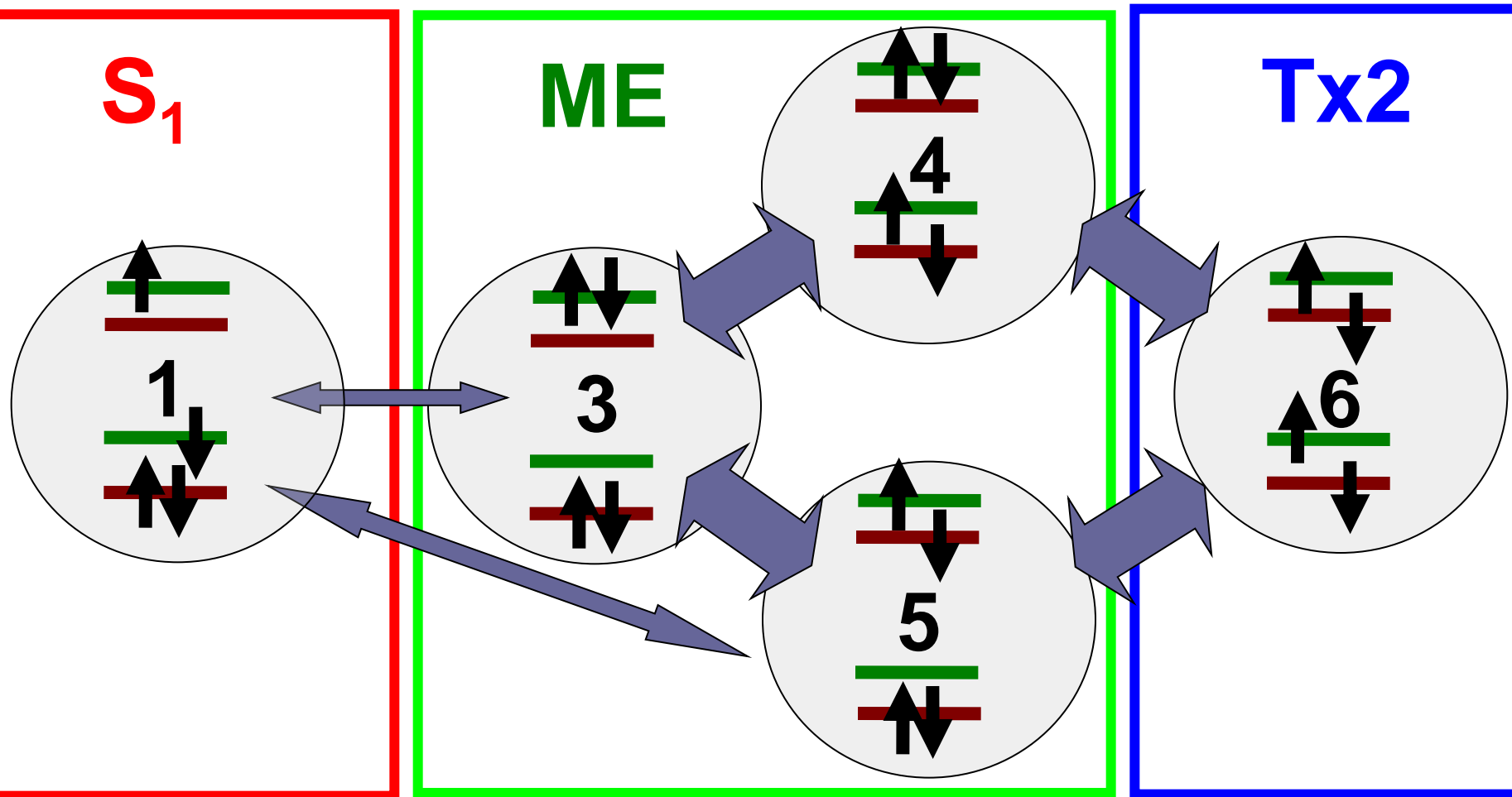
initial state is a  
superposition of  $S_1$  and ME



# Minimal basis: Multielectron states

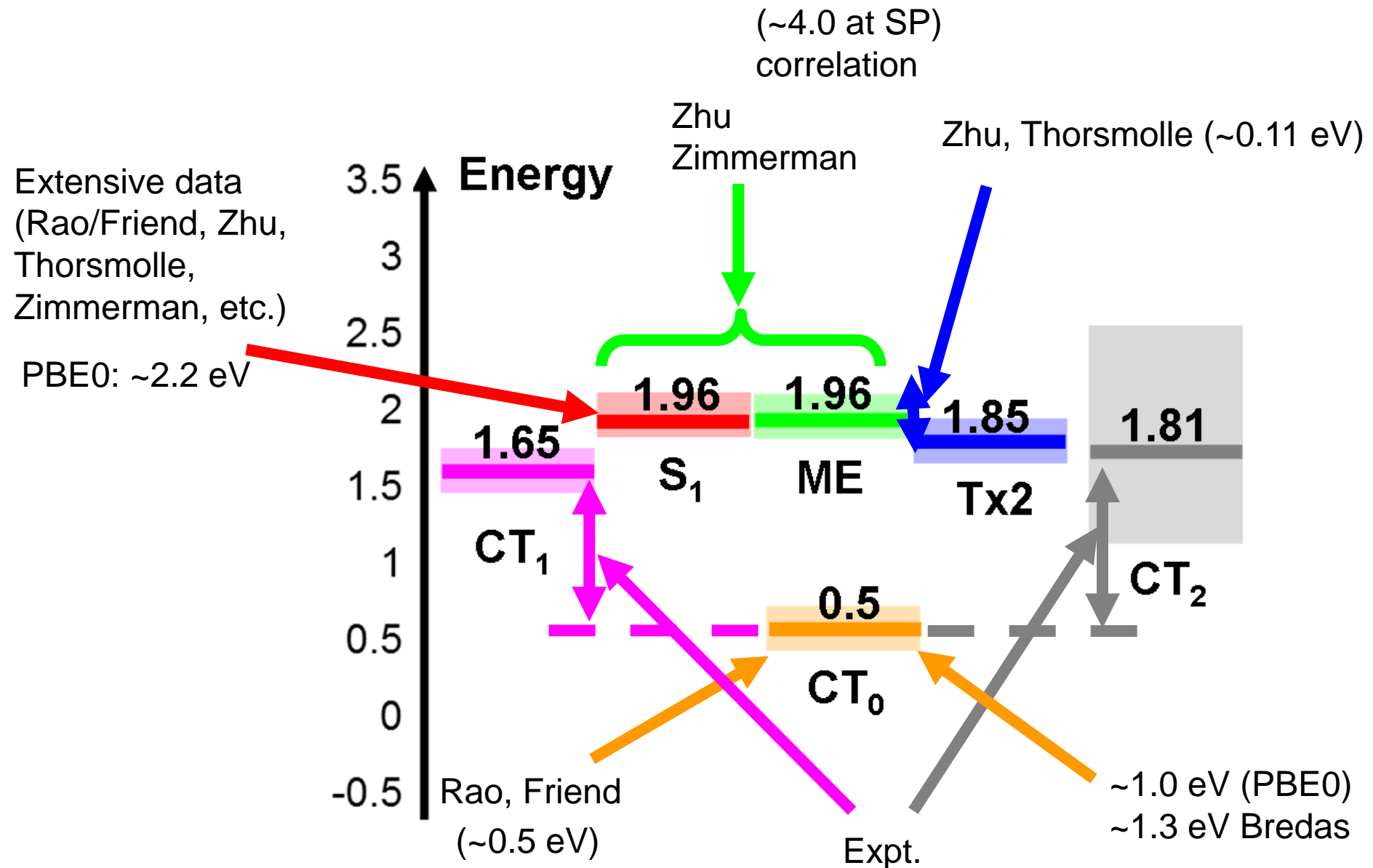


# Importance of intermediates

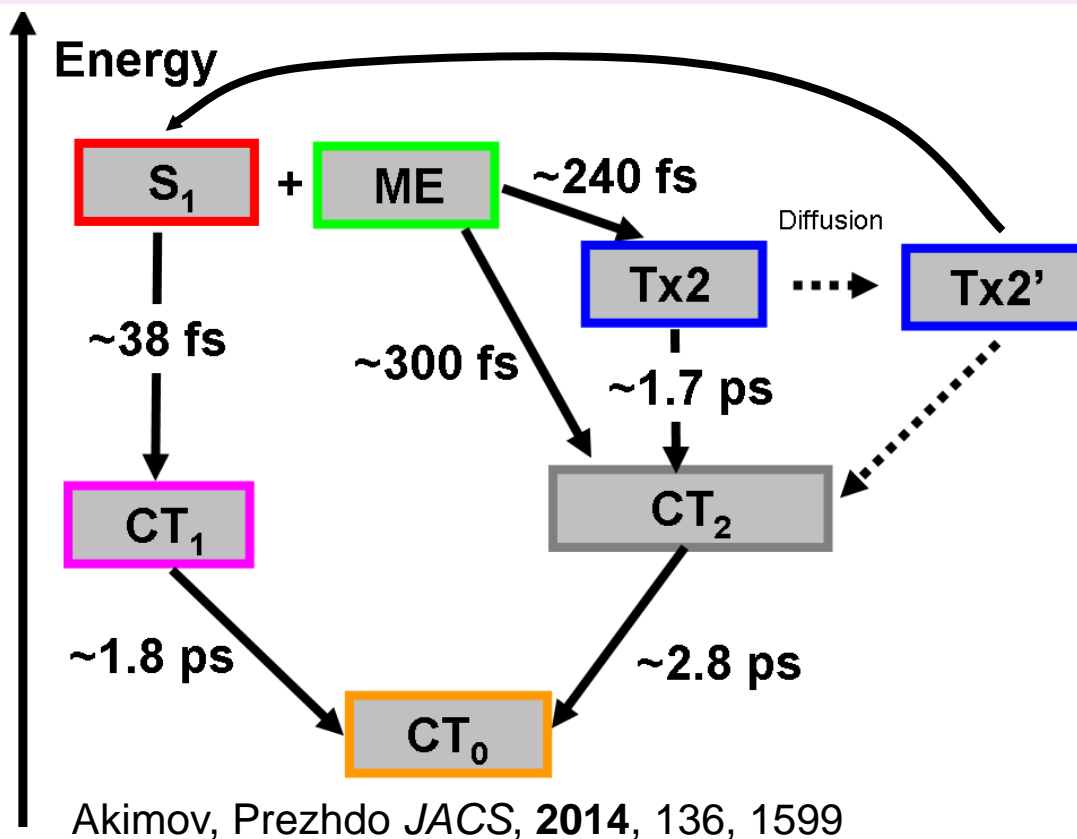




# Energy levels alignment



# Summary



## Findings

- 1) Intermediate states of CT character are important
- 2) No ME generation from  $S_1$
- 3) Correlation is important
- 4) Energy alignment ( $CT_0$ ) is important (revised)
- 5) Reproduce expt. timescales

## SF photovoltaic design principles

$S_1$  and ME coupled during photoexcitation step:

? efficient quantum pump  
? material with larger ME weight